

# Transverse Spin Results from STAR

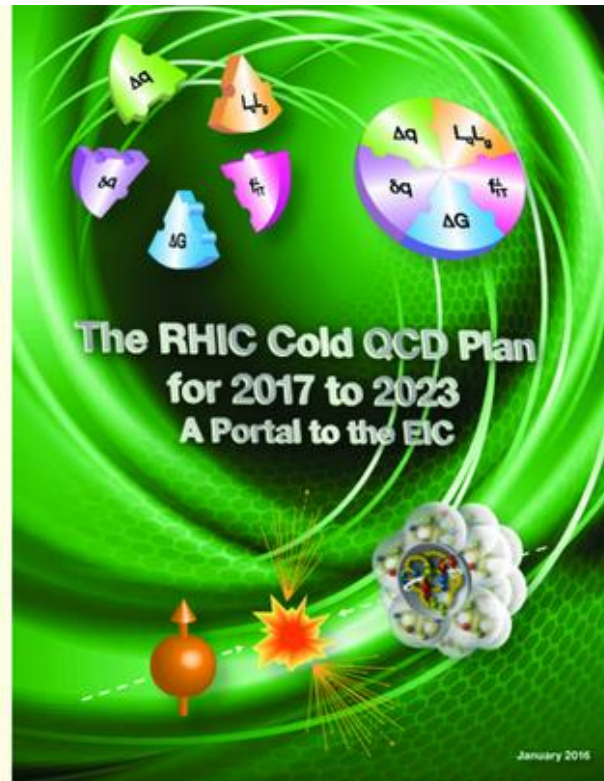
Salvatore Fazio (Brookhaven National Lab)

RHIC & AGS Annual Users' Meeting

BNL – June 7-10, 2016



arXiv: 1501.01220



arXiv:1602.03922

# Plan of the talk

## ✧ Historical back ground and physics motivations

## ✧ New results

- Initial state mechanism:
  - Sivers fcn through weak boson production
- Final state mechanism:
  - Transversity through Collins in jets
  - Transversity through di-hadron IFF
- nuclear TMDs and saturation effects in  $p^\uparrow + A$

## ✧ Future Plans

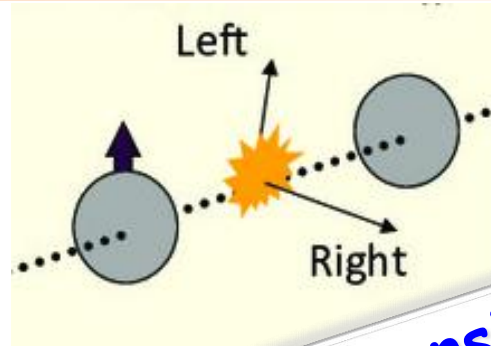
- Ultimate study of Sivers through Drell-Yan, weak bosons, photons
- First look at the GPD Eg though  $j/\psi \rightarrow e^+e^-$  in  $p^\uparrow + p^\uparrow$

## ✧ Conclusions

# The discovery of large asymmetries

## Transverse single spin asymmetry

$$A_N \gg \frac{S_L^- - S_R^-}{S_L^- + S_R^-}$$

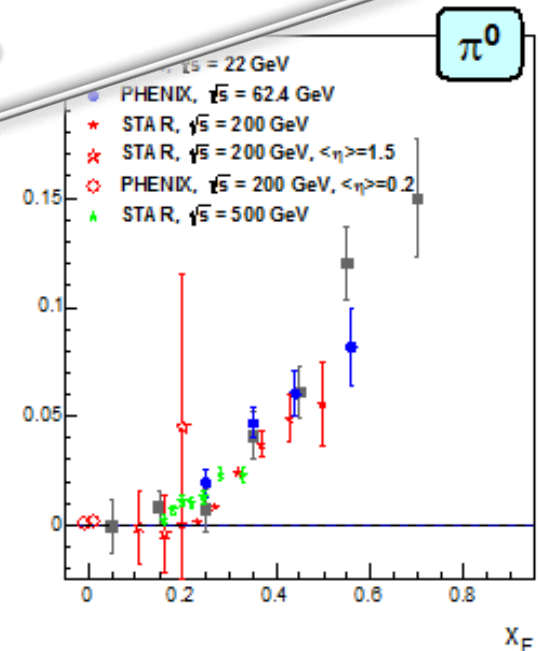
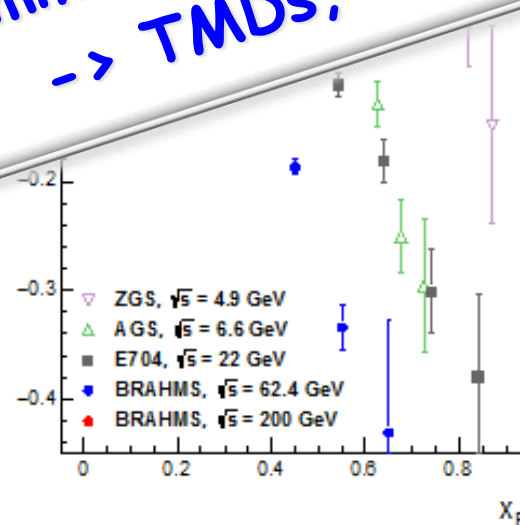
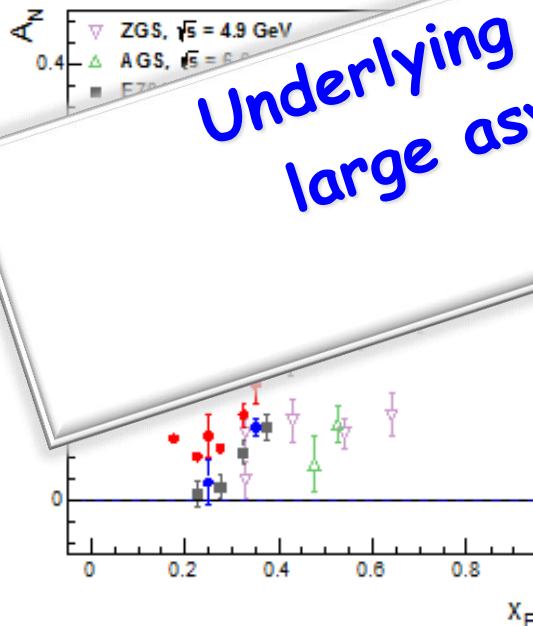


Theory ( $A_N \sim 10^{-4}$ )

Expected to be small

[Kane, Pumplin, Repko, (1978)]

Underlying subprocess responsible for the large asymmetries till today not clear  
 -> TMDs, Twist3



**Surprise!** The asymmetries are nearly independent over a very wide range of  $\sqrt{s}$

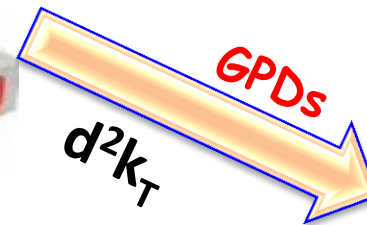
# Quantum tomography of the nucleons



**Wigner distribution**

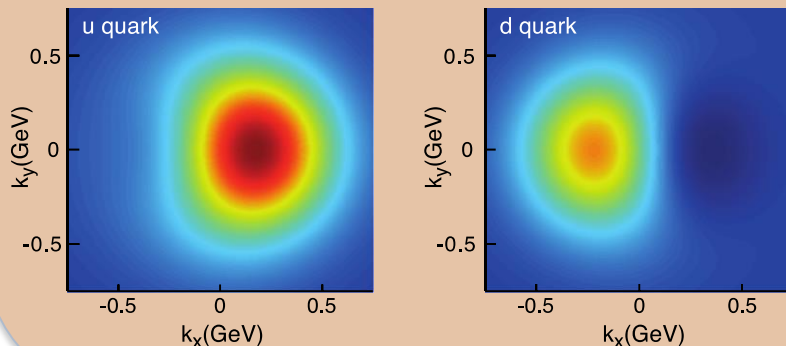
**5D**

$$W(x, k_T, r_T)$$

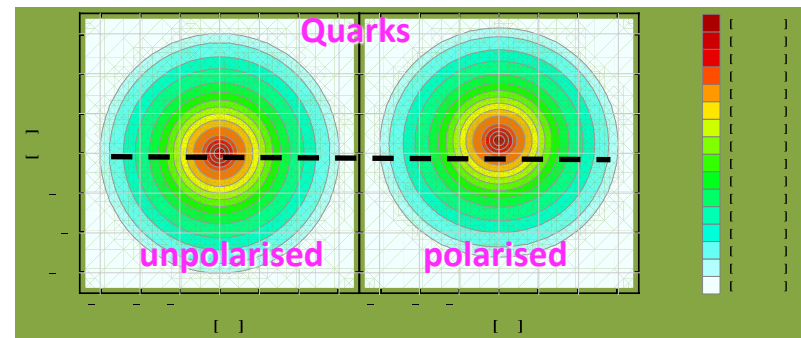


**3D**

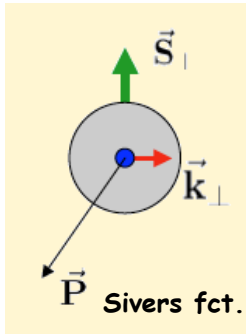
**2D+1 picture in momentum space**  
 transverse momentum dependent PDFs  
 → SIDIS, Drell-Yan, weak bosons  
 $\times f_1(x, k_T, S_T)$



**2D+1 picture in coordinate space**  
 generalized parton distributions  
 → exclusive reaction



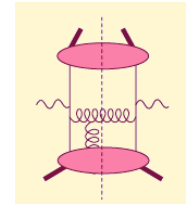
# Motivations – Transverse Single Spin Asymmetry ( $A_N$ )



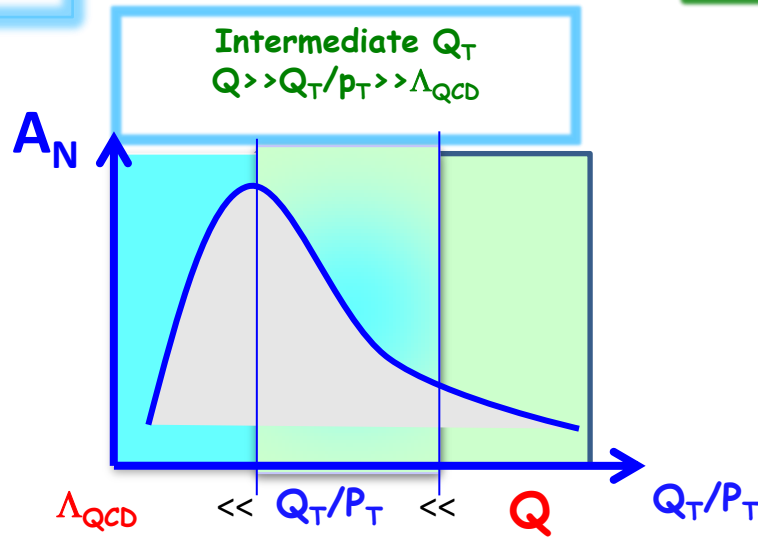
Transverse  
momentum  
dependent  
 $Q \gg Q_T \gg \Lambda_{\text{QCD}}$   
 $Q \gg p_T$

$$A_N \gg \frac{S^- - S^+}{S^- + S^+}$$

Collinear/  
twist-3  
 $Q, Q_T \gg \Lambda_{\text{QCD}}$   
 $p_T \sim Q$



Efremov, Teryaev;  
Qiu, Sterman



**TMDs**  
need 2 scales  
 $Q^2$  and  $p_\perp$   
Examples: DY, W/Z

**Twist-3**  
needs only 1 scale  
 $Q^2$  or  $p_\perp$   
But  
should be of reas. size.  
Examples:  $A_N(\pi^0/\gamma/\text{jet})$

related through

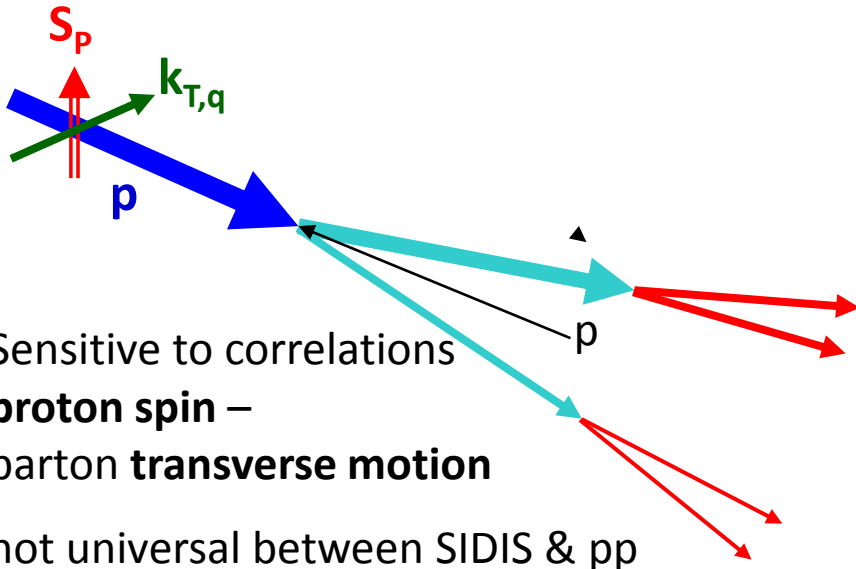
$$-\int d^2k_\perp \frac{|k_\perp^2|}{M} f_{1T}^{\perp q}(x, k_\perp^2)|_{\text{SIDIS}} = T_{q,F}(x, x)$$

# How to study TMDs in p+p collisions

## Initial State

### SIVERS/Twist-3

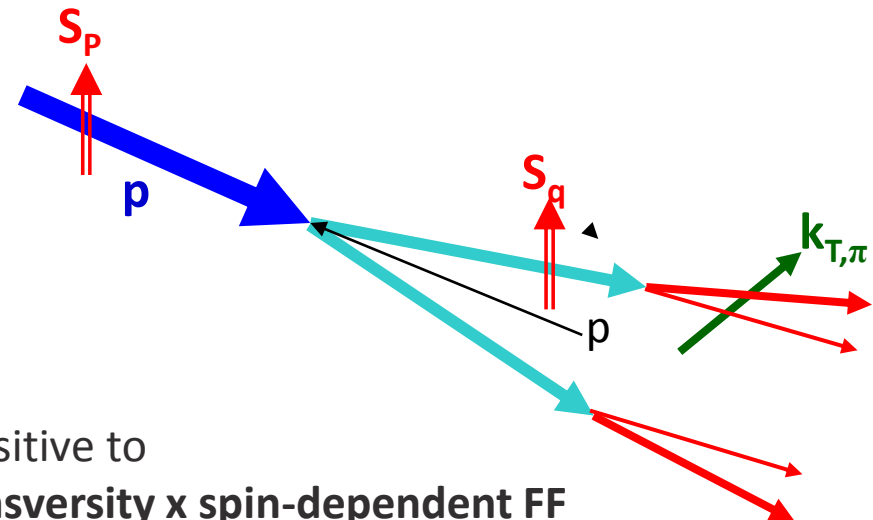
- $A_N$  as function of rapidity,  $E_T$ ,  $p_T$  and  $x_F$  for inclusive jets, direct photons
- $A_N$  for heavy flavour  $\rightarrow$  gluon
- $A_N$  as a function of rapidity,  $p_T$  for  $W^{+/-}$ ,  $Z^0$ ,  $DY$



## Final State

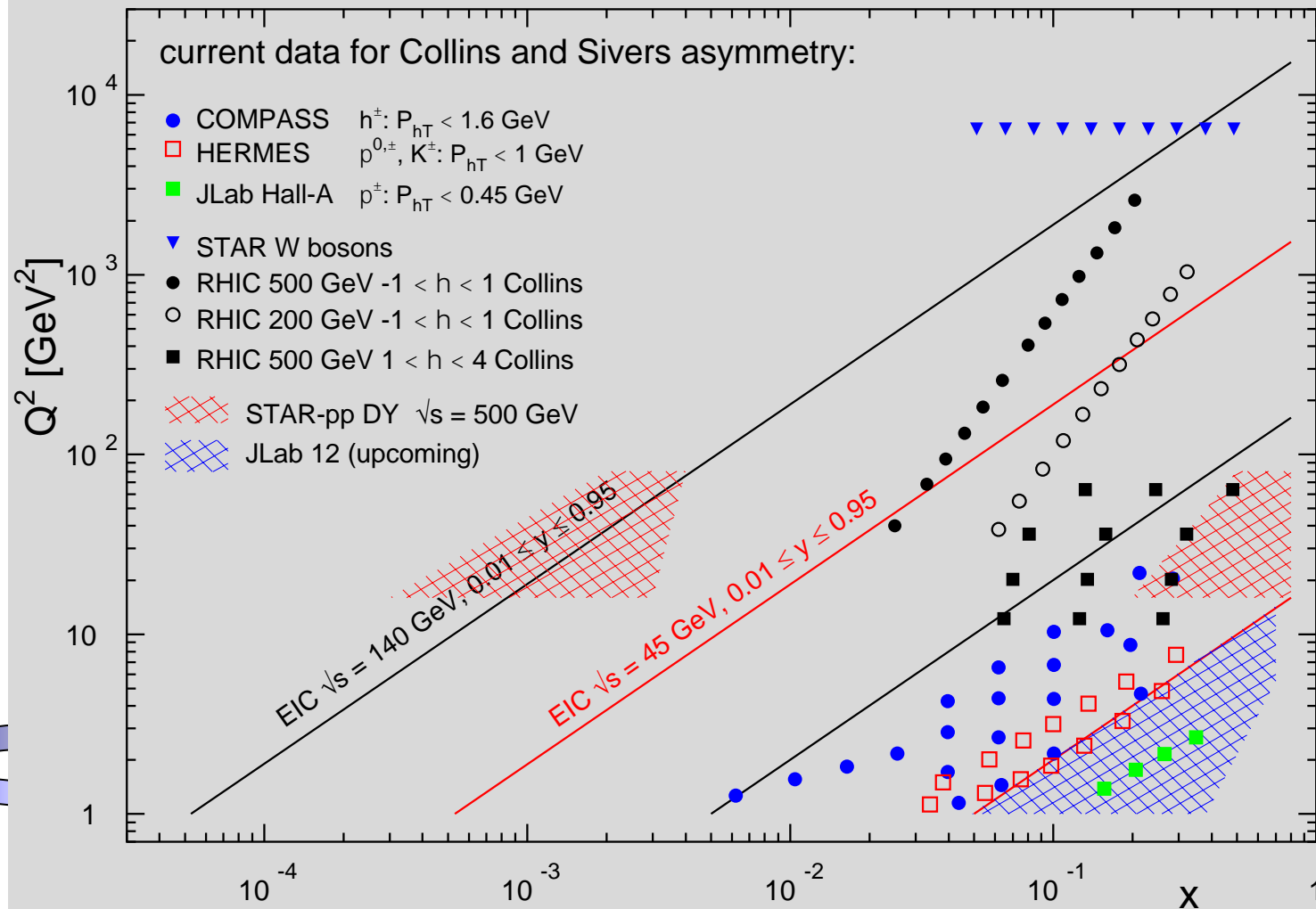
### Collins Mechanism

- asymmetry in jet fragmentation
  - $\pi^{+/-}\pi^0$  azimuthal distribution in jets
  - Interference fragmentation function
- $A_N$  for pions  
 $\rightarrow$  Novel Twist-3 FF Mechanisms





# RHIC – a unique opportunity!



# Test the TMD evolution and factorization

Different PDFs and FF can follow different evolution concepts

Evolution of 1d PDFs  $\neq$  evolution of TMDs  $\neq$  evolution of Twist-3

## TMD evolution can be tested at RHIC

→ measurements of TMD observables for DY and W/Z

→ measurements of TMD observables for  $\nu$ s: 200 & 500 GeV at fixed  $x_T$

## TMDs and factorization

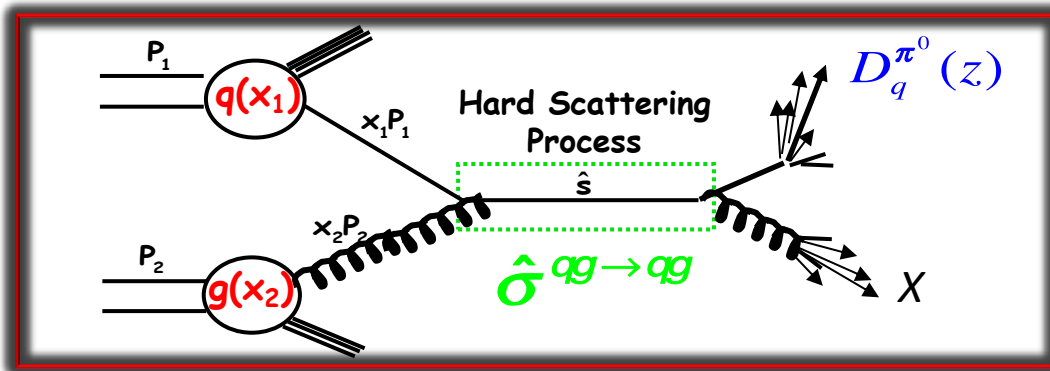
$$\sigma(pp \rightarrow \pi^0 X) \sim \mathbf{q}(x_1) + \mathbf{g}(x_2) + \mathbf{\sigma}^{qg \rightarrow qg} + \mathbf{D}^{\pi^0}(z)$$

**Partonic hard scattering cross section** → calculable in pQCD (process dependent)

**Parton distribution functions (need experimental input)**

**Fragmentation functions (need experimental input)**

} Universal  
non-perturbative functions



**When color flow is in too many directions:**

**factorization breaking**

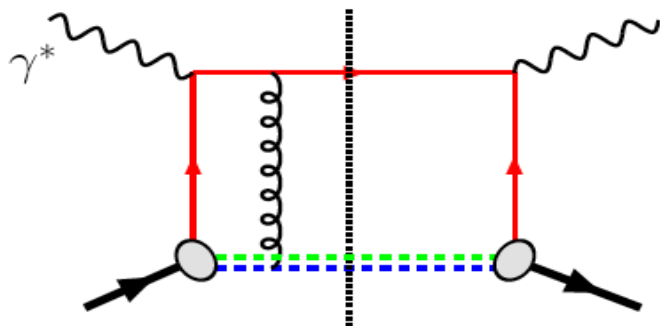
[Collins & J. Qiu '07; Collins '07; Rogers & Mulders '10]



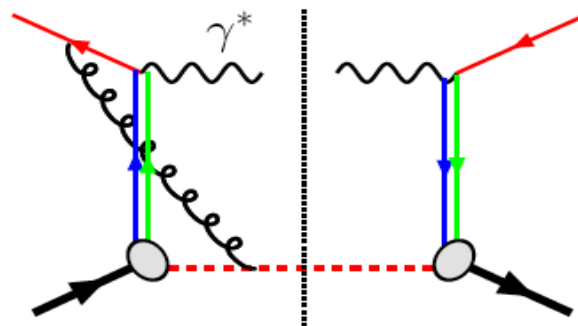
# Test the non-Universality of the Sivers function

**QCD:**

**DIS:**  $\gamma q$  scattering  
attractive FSI



**pp:**  $q/\bar{q}$  annihilation  
repulsive ISI



$$\text{Sivers}_{\text{DIS}} = - \text{Sivers} (\text{DY or W or Z})$$

The sign change of the Sivers function  
a fundamental prediction from the gauge invariance of QCD

Experimental test is critical test for our understanding of TMD's and TMD factorization  $\rightarrow$  No sign-change? We have to rethink factorization!

Test through Drell-Yan process: COMPASS (CERN), proposed SeaQuest (FermiLab)

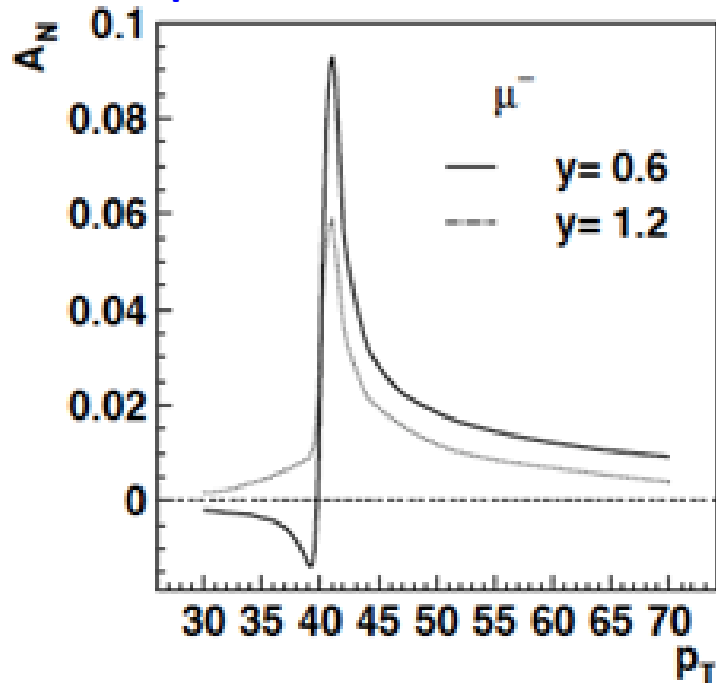
- Needs strong background suppression, high lumi
- @ STAR in run 2017(PostShower upgrade)

Polar. weak boson production (only at RHIC)

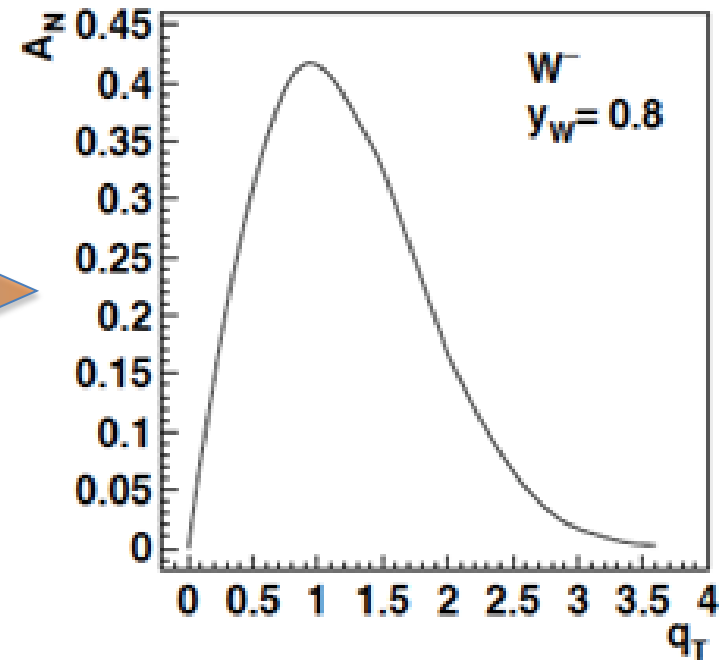
- Very low background
- Very high  $Q^2$ -scale ( $\sim W/Z$  boson mass)

# $A_N$ for weak bosons

Lepton's transverse momentum



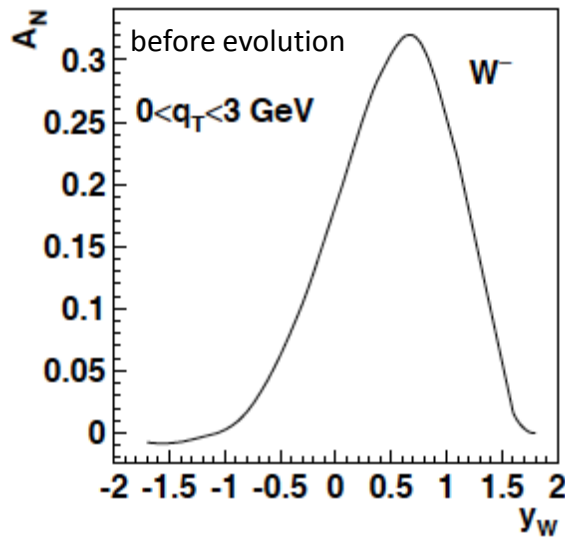
Boson's transverse momentum



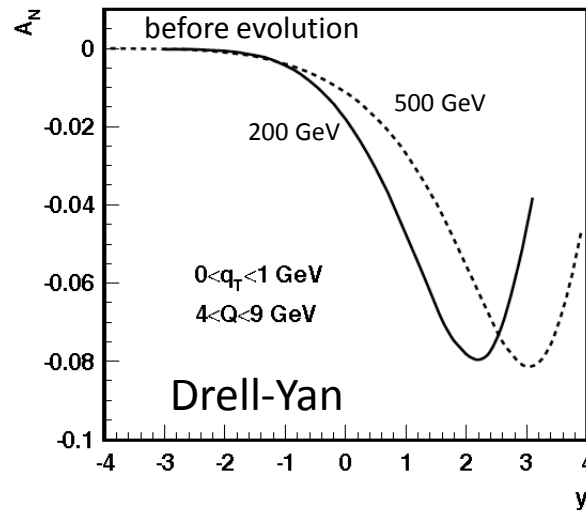
- Asymmetry from lepton-decay is small → Full kin. reconstruction of the boson needed
  - >  $Z^0$  easy to reconstruct (but small cross-section)
  - >  $W$  kin. can be reconstructed from the hadronic recoil (first time at STAR)

# The TMD evolution & sea-quarks Siverts

Z.-B. Kang & J.-W. Qiu arXiv:0903.3629

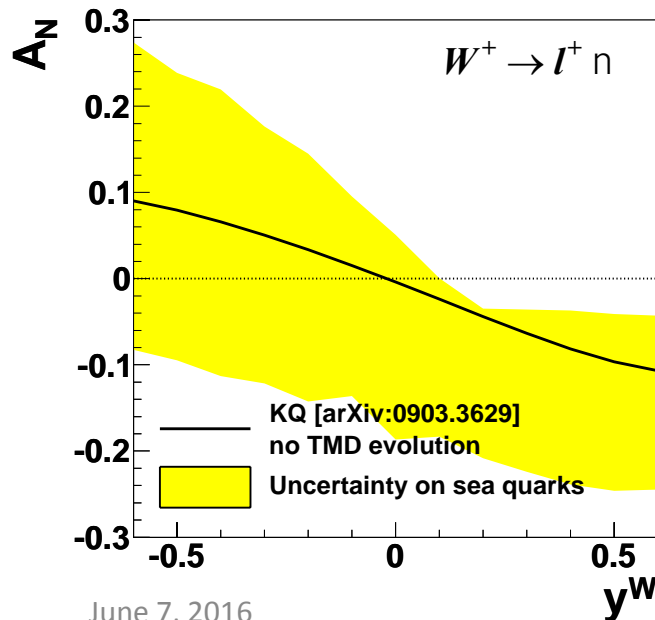


Z.-B. Kang & J.-W. Qiu Phys.Rev.D81:054020,2010



□ Size of the TMD evolution effect still under discussion in theory community

For details see  
J. Collins, T. Rogers,  
Phys.Rev. D91 (2015) 7, 074020



□ What is the sea-quark Siverts fct.?

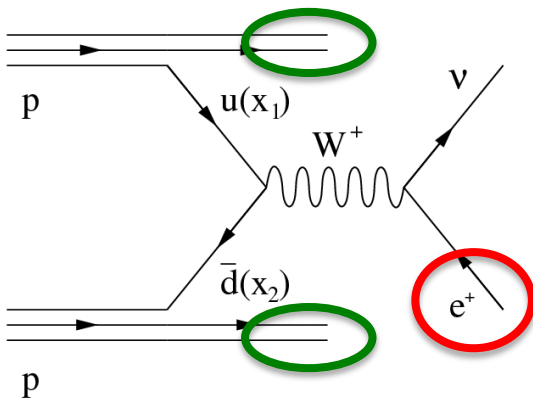
→ Sea quarks are mostly unconstrained from existing SIDIS data... **but** they can give a relevant contribution!

→  $W$ 's ideal → rapidity dependence of  $A_N$  separates quarks from antiquarks

**$W^\pm$  data can constrain the sea-quark Siverts function**

# RECENT EXPERIMENTAL RESULTS

# Measurement of TSSA for weak bosons @ STAR



## Ingredients for the analysis

- Isolated electron
- neutrino (not measured directly)
- Hadronic recoil

**W boson momentum reconstruction technique well tested at FermiLab and LHC**

[CDF: PRD 70, 032004 (2004); ATLAS: JHEP 1012 (2010) 060]

**Phys. Rev. Lett. 116, 132301 (2016)**  
**Editor's suggestion**  
**[arXiv:1511.06003]**

**World's first direct experimental  
test of the sign change in the  
Sivers function**

- **RHIC is the only polarized p+p collider.** Its top energy is enough to produce weak bosons
- **Selection of weak bosons well established at STAR**
  - **Long. spin asymmetries:**  
Phys. Rev. Lett. 113, 072301 (2014)  
Phys. Rev. Lett. 106, 062002 (2011)
  - **unpolarized xsec:**  
Phys. Rev. D 85, 092010 (2012)
- **STAR's first attempt to reconstruct the produced boson's kinematics**

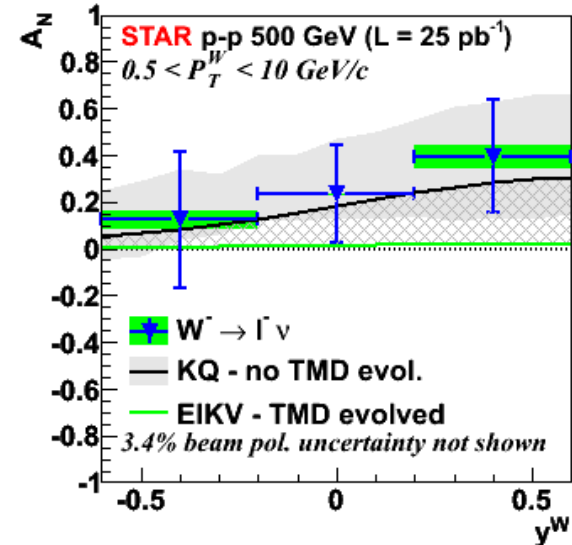
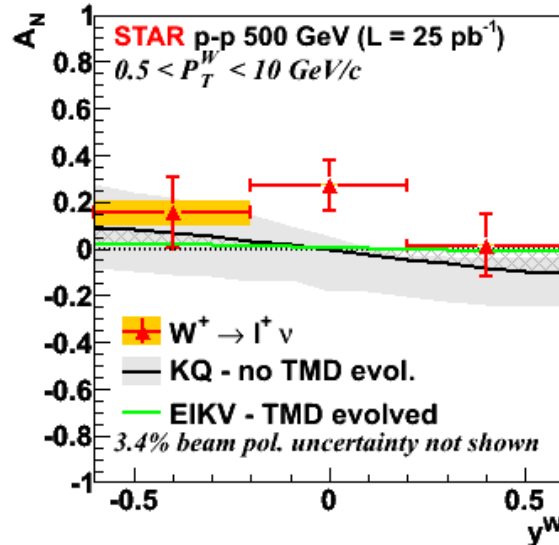
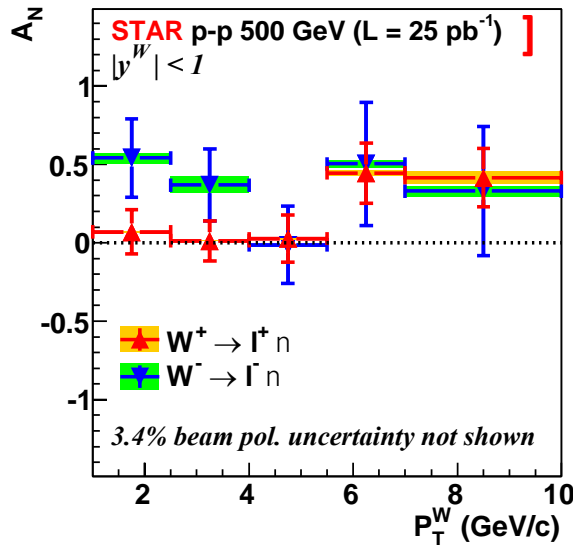
# $A_N$ vs W-rapidity

We use the “left-right” formula to cancel dependencies on geometry and luminosity

$$A_N \gg \frac{1}{P} \frac{\sqrt{N_R^- N_L^-} - \sqrt{N_L^- N_R^-}}{\sqrt{N_R^- N_L^-} + \sqrt{N_L^- N_R^-}}$$

Average RHIC polarization  
(p+p run 2011 tran.)  
 **$\langle P \rangle = 53\%$**

[Phys. Rev. Lett. 116, 132301 (2016)]

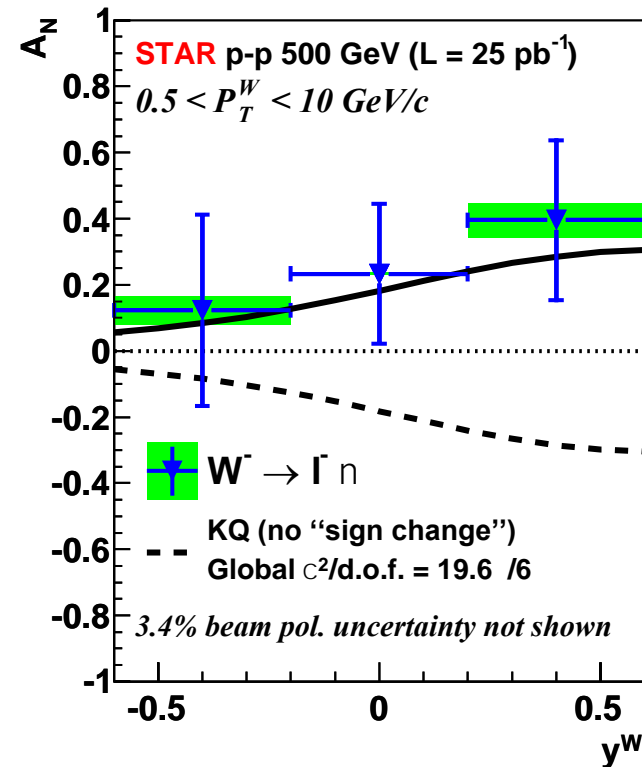
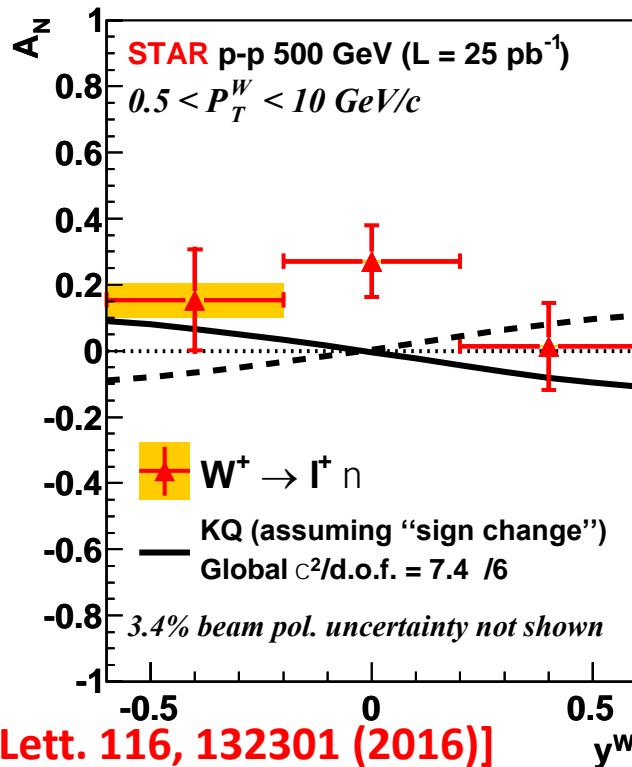


Results versus rapidity are compared with:

- **KQ model** [Z.-B. Kang and J. -W. Qiu, Phys. Rev. Lett. 103, 172001 (2009)]
  - It does not include TMD evolution
  - **Grey band** is the theory uncertainty
- **EIKV model** [M. G. Echevarria, A. Idilbi, Z.-B. Kang, I. Vitev, Phys. Rev. D89, 074013 (2014)]
  - Includes the largest prediction for TMD evolution
- **Grey hatched area** represents the current theoretical uncertainty on TMD evolution



# The Sivers' sign change (no TMD evol.)



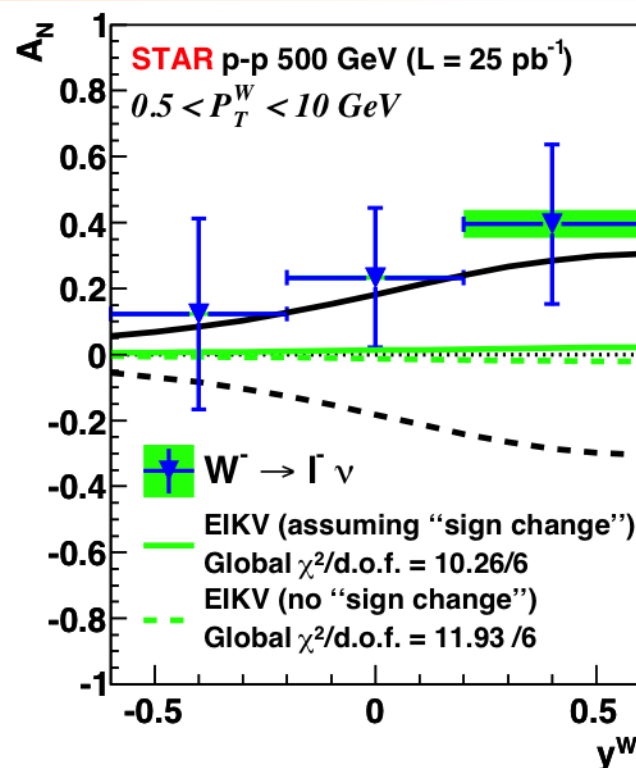
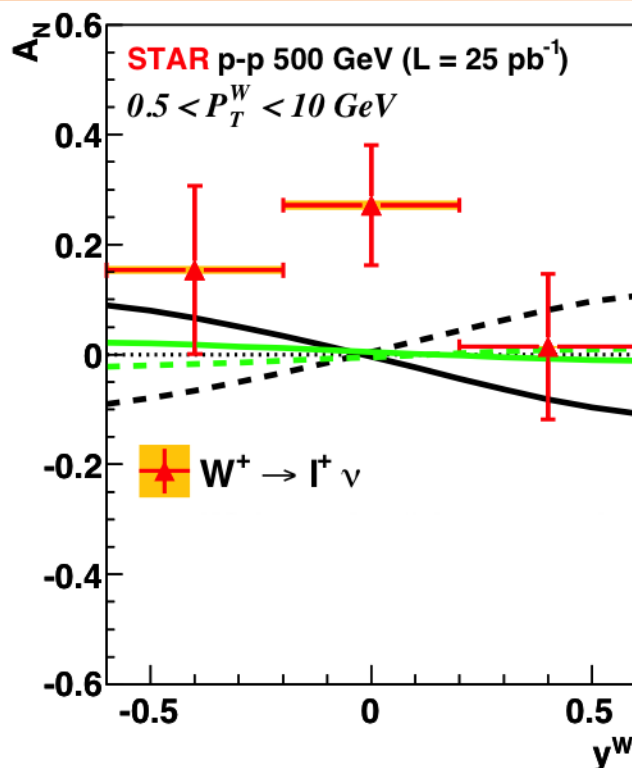
[Phys. Rev. Lett. 116, 132301 (2016)]

**A global fit to the (unevolved) KQ prediction was performed:**

- **solid line:** assumption of a sign change in the Sivers function → **Chi2/d.o.f. = 7.4/6**
- **dashed line:** assumption of no sign change in the Sivers function → **Chi2/d.o.f. = 19.6/6**

**If there are no evolution effects,  
our data favor the hypothesis of Sivers sign change**

# The Sivers' sign change (strong TMD evol.)



## Size of the TMD evolution still uncertain

-> terms calculable from QCD + non-perturbative terms (need data)

## A global fit to the EIKV prediction (largest predicted evolution effect):

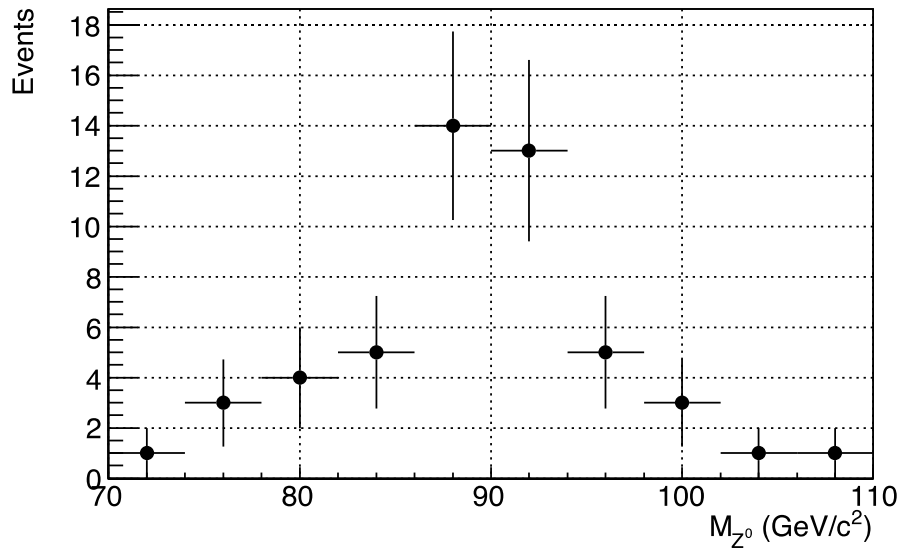
- solid line:** assumption of a sign change in the Sivers function  $\rightarrow \chi^2/\text{d.o.f.} = 10.26/6$
- dashed line:** assumption of no sign change in the Sivers function  $\rightarrow \chi^2/\text{d.o.f.} = 11.93/6$

**Our uncertainties are still too high to compare with predictions**

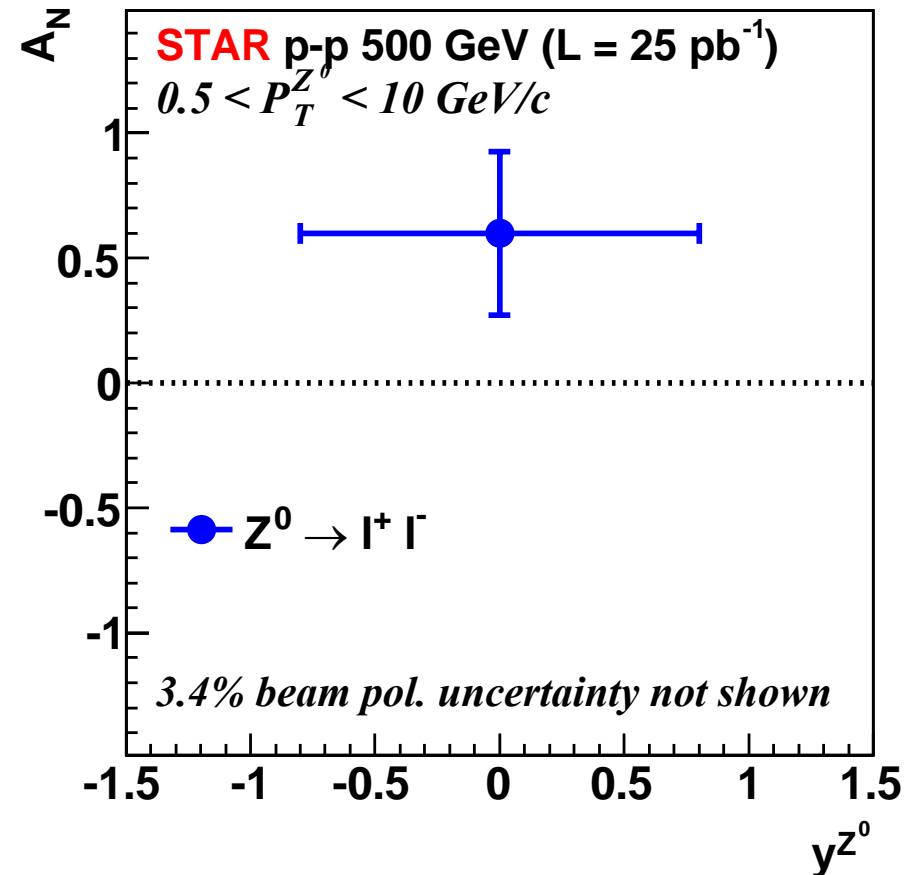
# $Z^0$ Asymmetry

$$pp \rightarrow Z^0 \rightarrow e^+ e^-$$

- Clean experimental momentum reconstruction
- Negligible background
- electrons rapidity peaks within tracker accept. ( $|\eta| < 1$ )



$A_N$  measured in a single  $y$ ,  $P_T$  bin

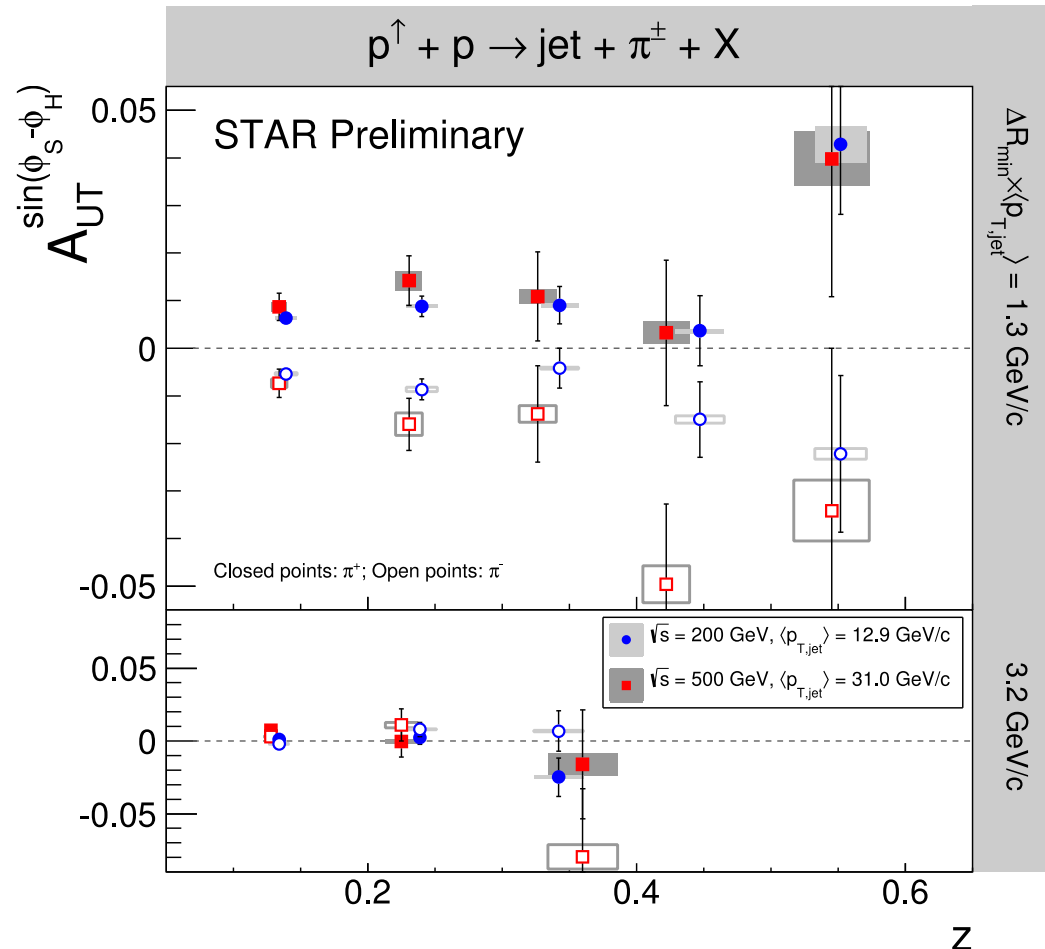


# Final state mechanism: Transversity x Collins

$$A_{UT}^{p^\pm} \approx \frac{h_1^{q_1}(x_1, k_T) f_{q_2}(x_2, k_T) \hat{S}_{UT}(\hat{s}, \hat{t}, \hat{u}) DD_{q_1}^{p^\pm}(z, j_T)}{f_{q_1}(x_1, k_T) f_{q_2}(x_2, k_T) \hat{S}_{UU} D_{q_1}^{p^\pm}(z, j_T)} \quad z = \frac{p_t^h}{p_t^{jet}}$$

## 200 vs. 500 GeV Comparison:

- ❑ These measurements coupled with the interference fragmentation function (IFF) measurements at both 200 and 500 GeV are **sensitive to the evolution and universality of TMD functions**.
- ❑ These results could be sensible to the size of potential factorization-breaking in Collins in p+p.
- ❑ dependence of the Collins FF on pion transverse momentum ( $j_T$ )



## What we see

### Non-zero Collins asymmetry

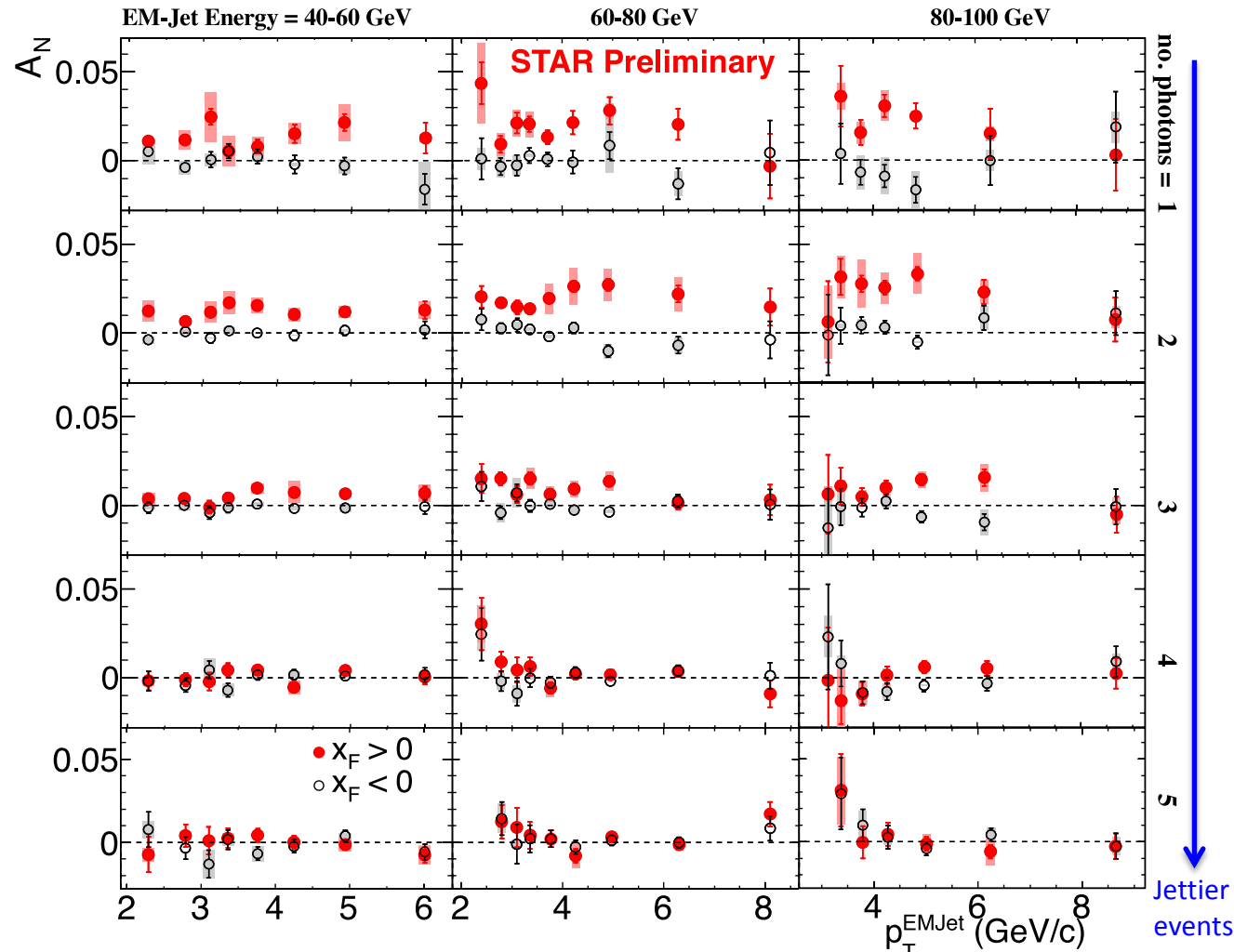
-> Access to transversity!

### Similar size asymm. in 200 and 500 GeV

-> Small TMD evolution?

-> Cancellation in num/denom may also be the key

# New surprise in Spin physics: a diffractive effect?

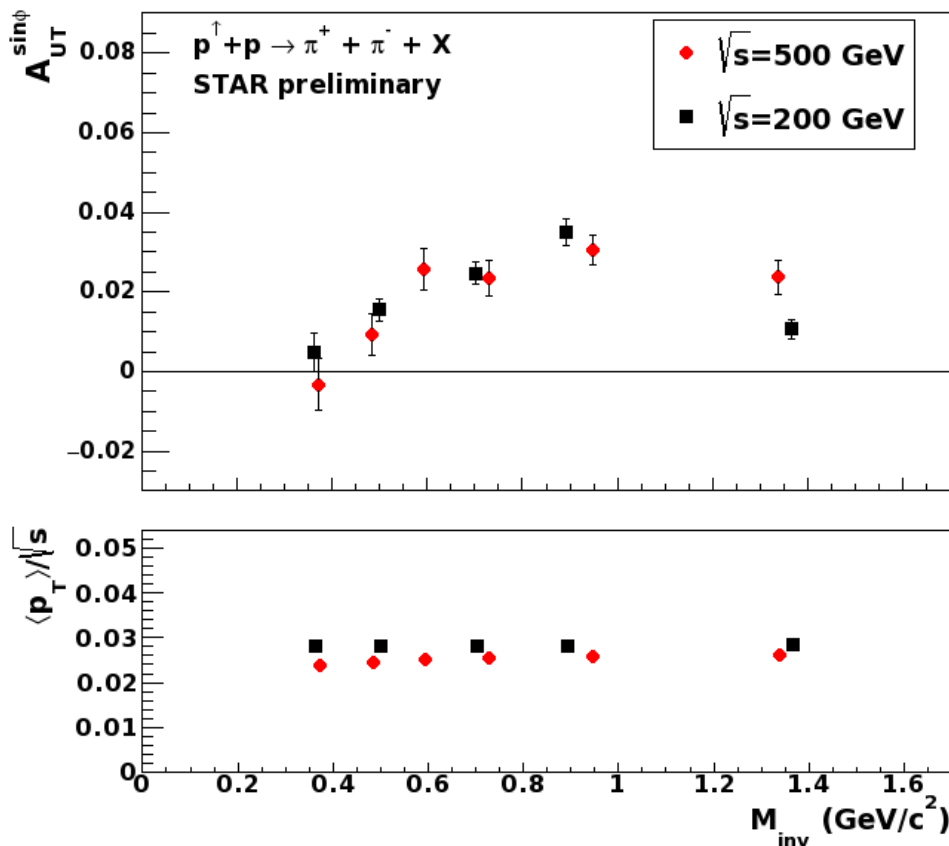


## $A_N$ for different # photons in EM-Jets

- 1-photon events, which include a large  $\pi^0$  contribution in this analysis, are similar to 2-photon events
- Three-photon jet-like events have a clear non-zero asymmetry, but substantially smaller than that for isolated  $\pi^0$ 's
- $A_N$  decreases as the event complexity increases (i.e., the "jettiness")
- Several other Asym. for jettier events are also very small. Collins contribution is  $\sim 1\%$  over the entire  $x_F$  range

- Sivers-type asymmetry in the jets is too small to explain  $\pi^0$  asymmetry
- $A_N$  for  $\pi^0$  may be dominated by hard diffraction:  $p^\uparrow + p \rightarrow \pi^0 + p' + X$
- Run 15 – STAR has collected data using RPs to measure forward scattered protons

# Transversity x Di-hadron IFF



[Bacchetta and Radici, PRD 70, 094032 (2004)]

$$A_{UT}^{\sin(j_{RS})} \propto h_1 \ddot{A} H_1^D$$

$\phi_{RS} \rightarrow$  azimuthal angle between the proton spin and the di-hadron plane

- Much improved statistical uncertainties!
- Again... similar size asymmetry in 200 and 500 GeV

## What we see

Non-zero IFF (Interference Fragmentation fcn.) asymmetry in pp

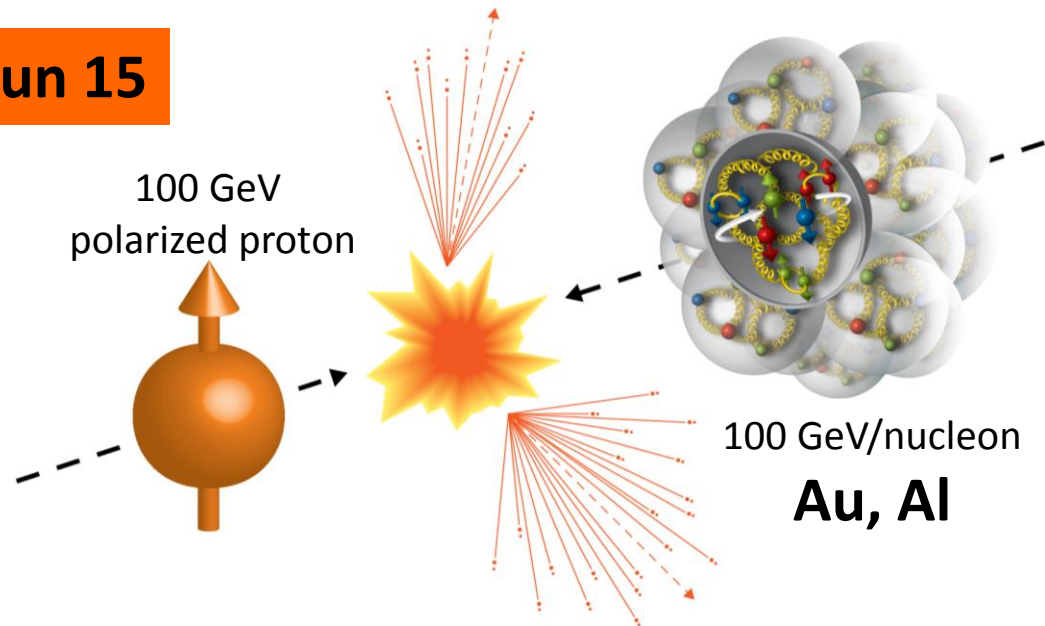
$\rightarrow$  A complementary way to access to transversity!

Signal enhancement around the  $p$ -mass region in both 200 and 500 GeV



# First polarized pA collisions @ RHIC

**RHIC Run 15**



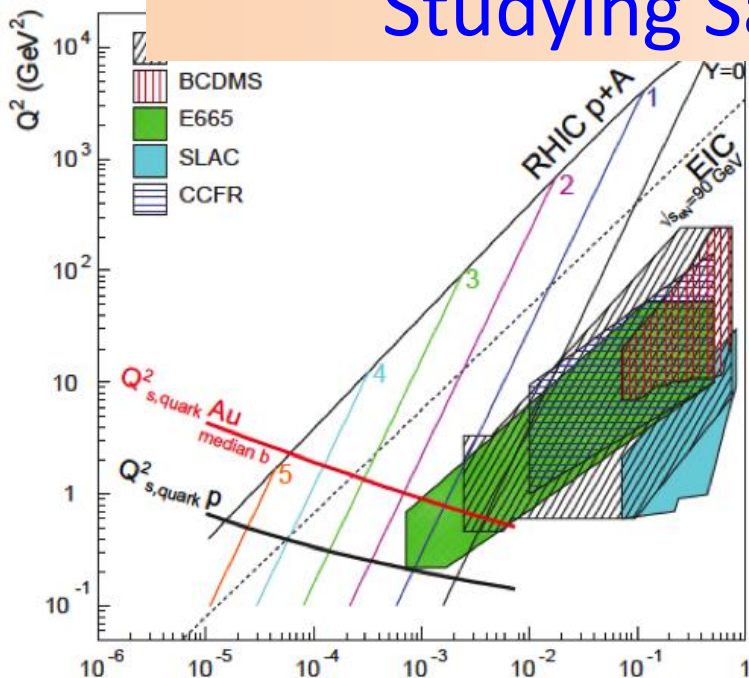
## RHIC's unique opportunities:

- polarized  $p^\uparrow(d, \text{He}) A$  (Au, C, Cu, ...)
- A-scan unique to RHIC
- Energy scan to separate different underlying mechanisms

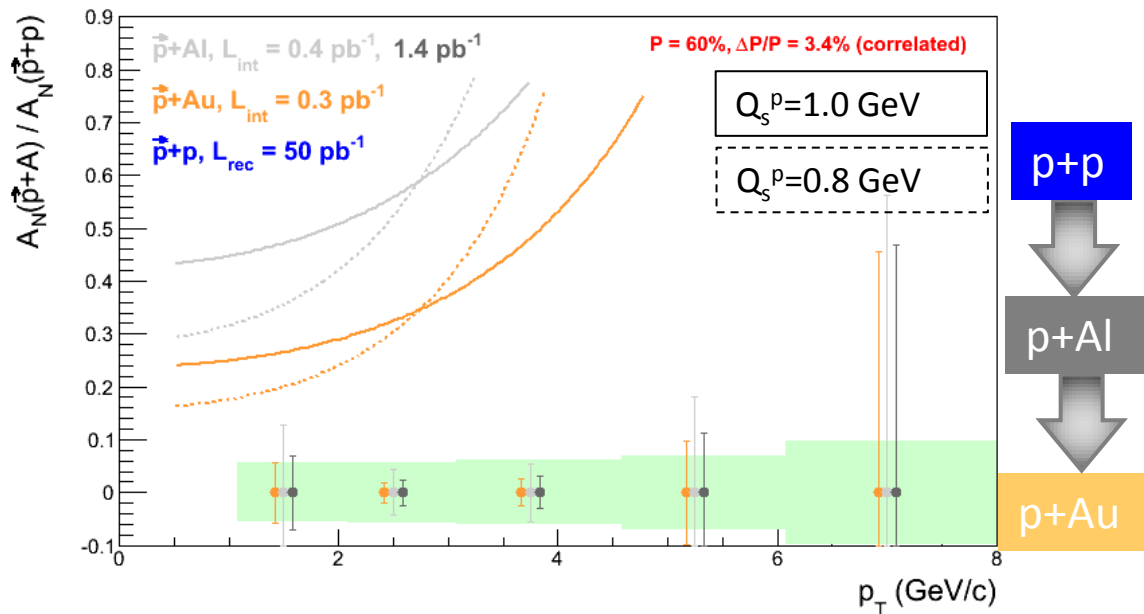
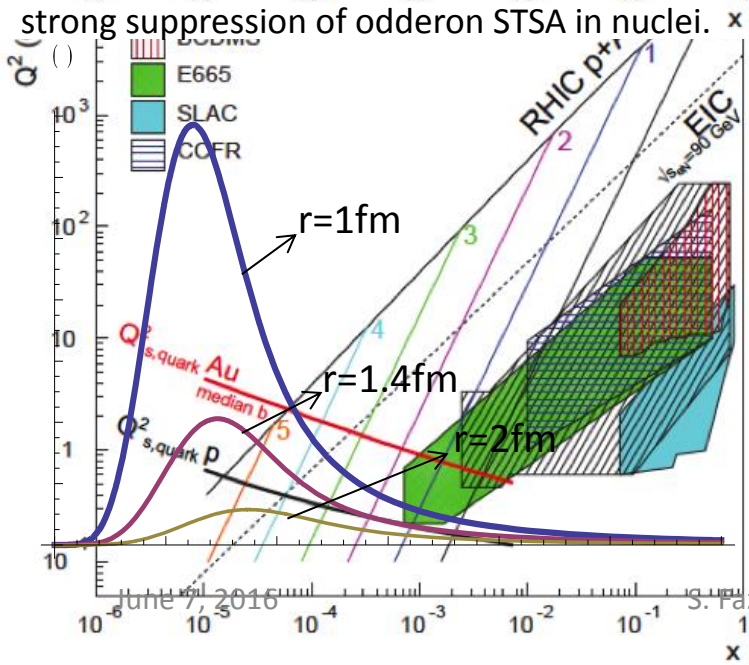
## Things to investigate:

- Theory prediction based on QGP ->  $A_N$  decreases with increasing size of the nuclear target
- pQCD factorization based approach ->  $A_N$  remains the ~same for all nuclear targets

# Studying Saturation Through Spin



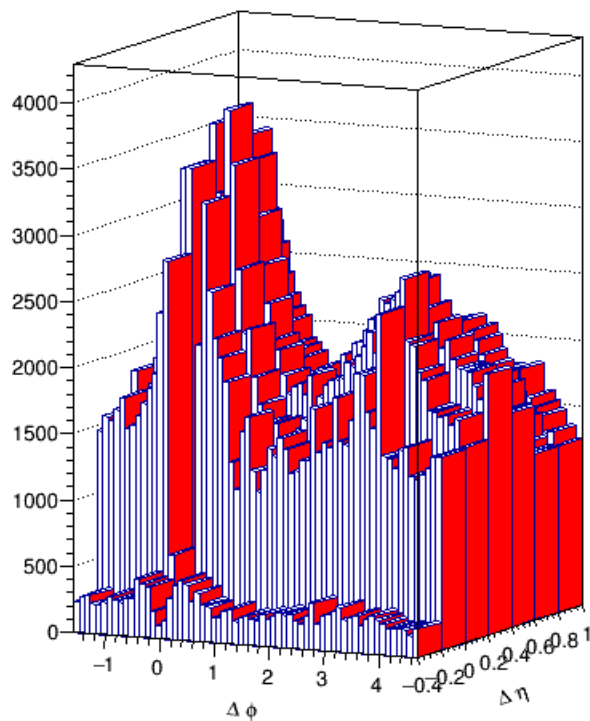
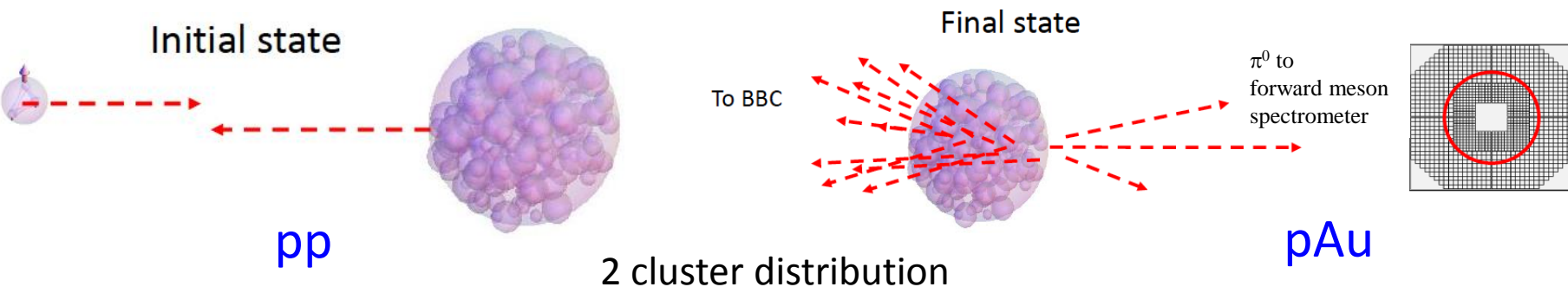
- Very unique RHIC possibility  $p \uparrow A$
  - Gluon saturation signature in transverse single spin asymmetries  $A_N$
  - Suppression is enhanced in nuclei
- $$Q_s^A = A^{1/3} Q_s^p$$
- Suppression of  $A_N$  in  $p \uparrow A$  provides sensitivity to  $Q_s$
- arXiv:1106.1375 & arXiv:1201.5890



# First results from $p^\uparrow A$ @ STAR

STAR Run-15:

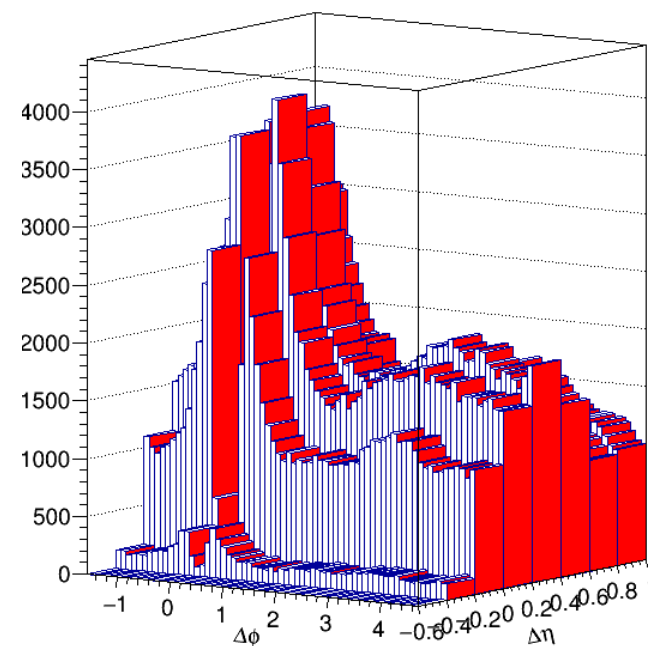
$A_N$  data for  $p^\uparrow p$ ,  $p^\uparrow Au$  and  $p^\uparrow Al$  at  $2.8 < \eta < 4.0$



$\pi^0$ :

$0.25 < x_F(\pi^0) < 0.35$

$3.55 < p_T(\pi^0) < 4.05 \text{ GeV}$



# TSSA in d+Au

STAR:  $\pi^0 A_N$  in pp and pAu at  $\sqrt{s}=200$  GeV

**Luminosity:**

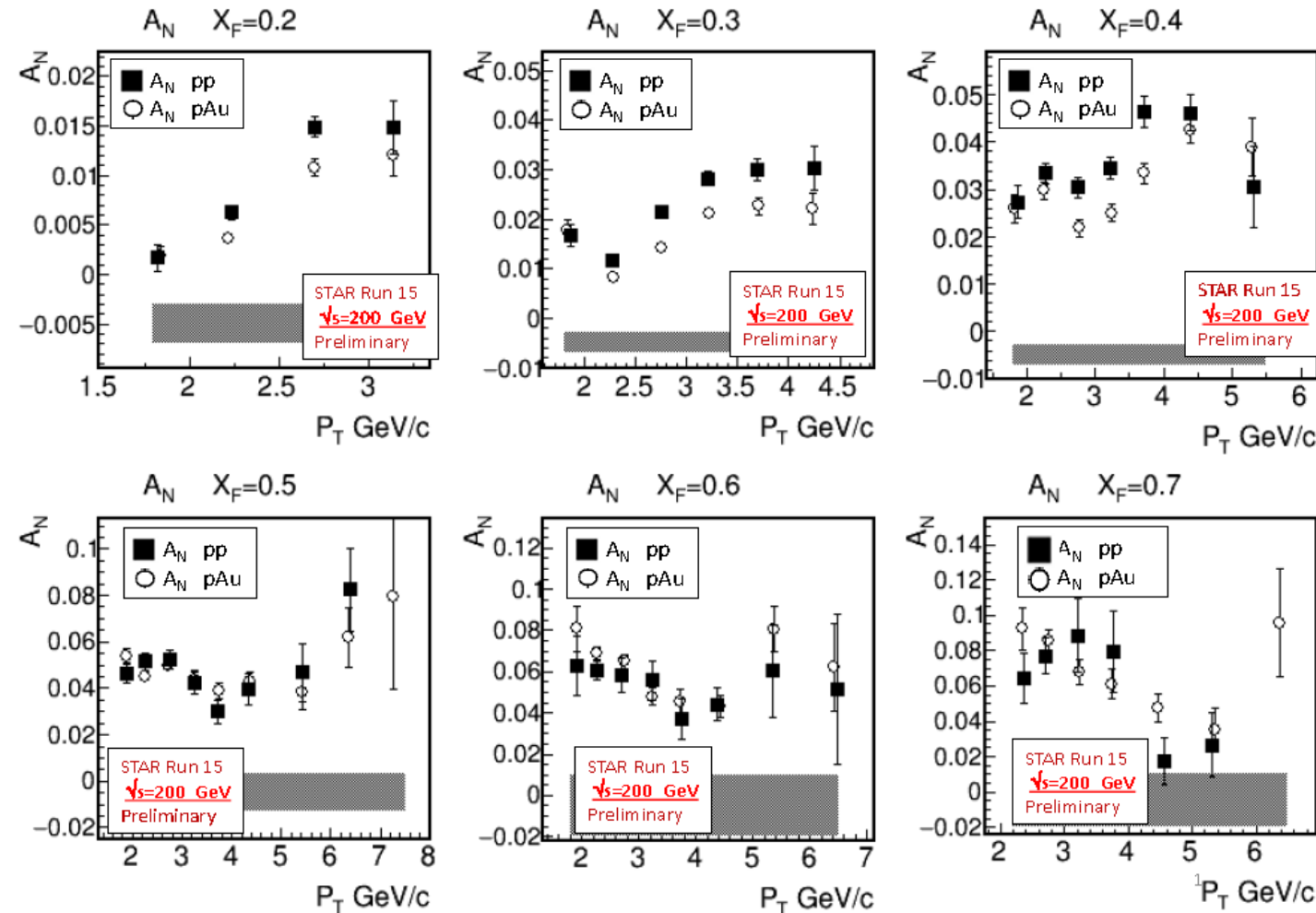
pAu =  $204.6 \text{ nb}^{-1}$

pp =  $34.8 \text{ pb}^{-1}$

**<polarization>**

pAu =  $60.4 \pm 2\%$

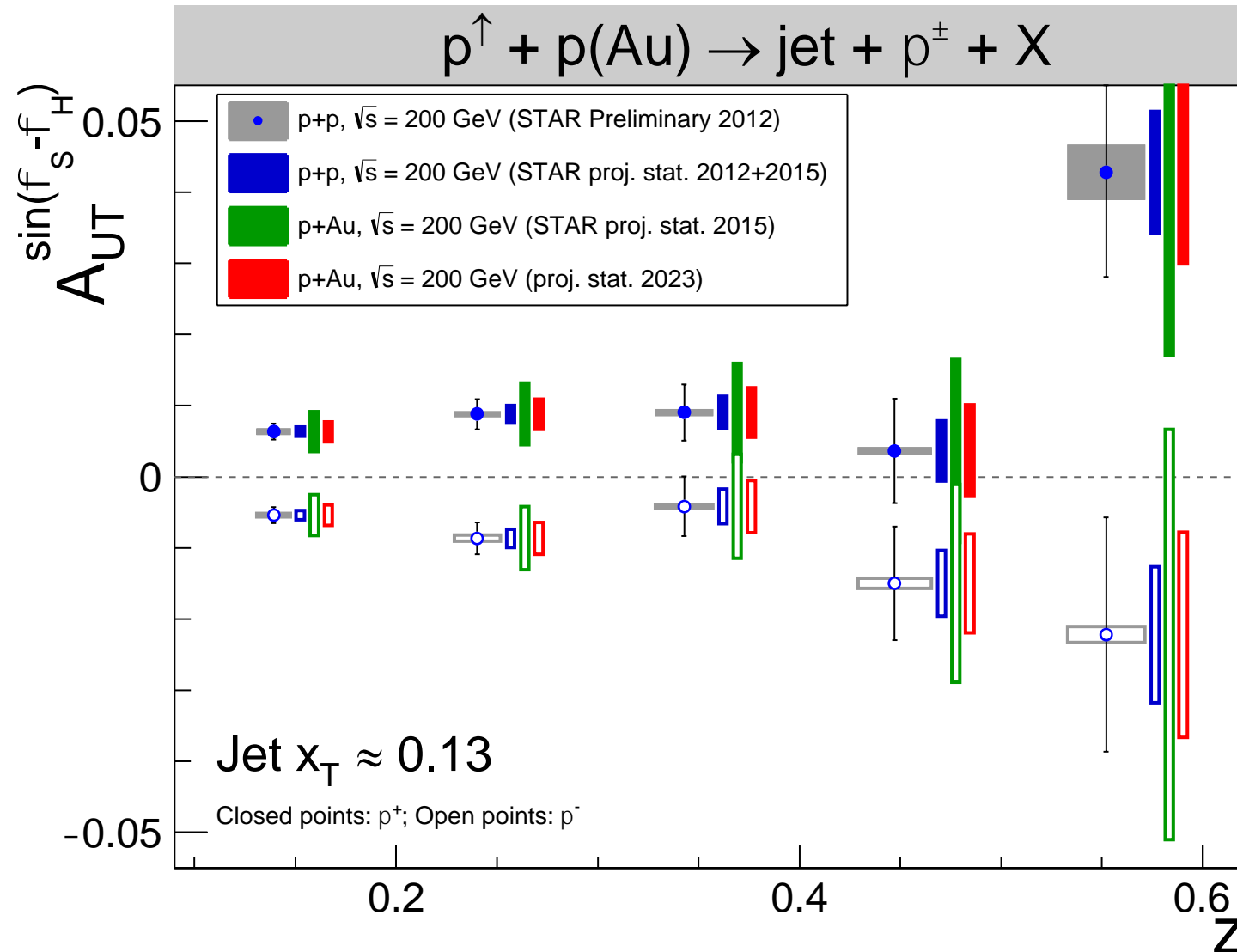
pp =  $55.6 \pm 2\%$



Shaded bands show the systematic uncertainty, dominated by the dependence of  $A_N$  on the BBC multiplicity  $\rightarrow$  central vs. peripheral collisions

**Only minimal suppression effect observed for  $A_N$  in pAu**

# Nuclear modification of TMDs



## Collins FF in p+Au

Analysis of run 15 data ongoing...

First study of a nuclear modification of a spin observable, ever!

# ... and the future?





# Sivers future program at STAR?

Present results, obtained with a pilot sample of  $25 \text{ pb}^{-1}$  show a **proof-of principle**

**Full kinematic reconstruction of weak bosons is possible at STAR**

## Main physics goals:

- How strong is the TMD evolution?
- What is the contribution to the Sivers function from sea-quarks?
- Conclusive test of the Sivers' sign change
- Precise measurements suitable for 3D imaging of protons in momentum space

## How?

- Measure  $A_N$  for **direct- $\gamma$** ,  **$W^\pm$** ,  **$Z^0$** , **DY**
- DY and  $W^\pm$ ,  $Z^0$  give  $Q^2$  evolution
- $W^\pm$  give sea-quark Sivers
- All four processes give sign change

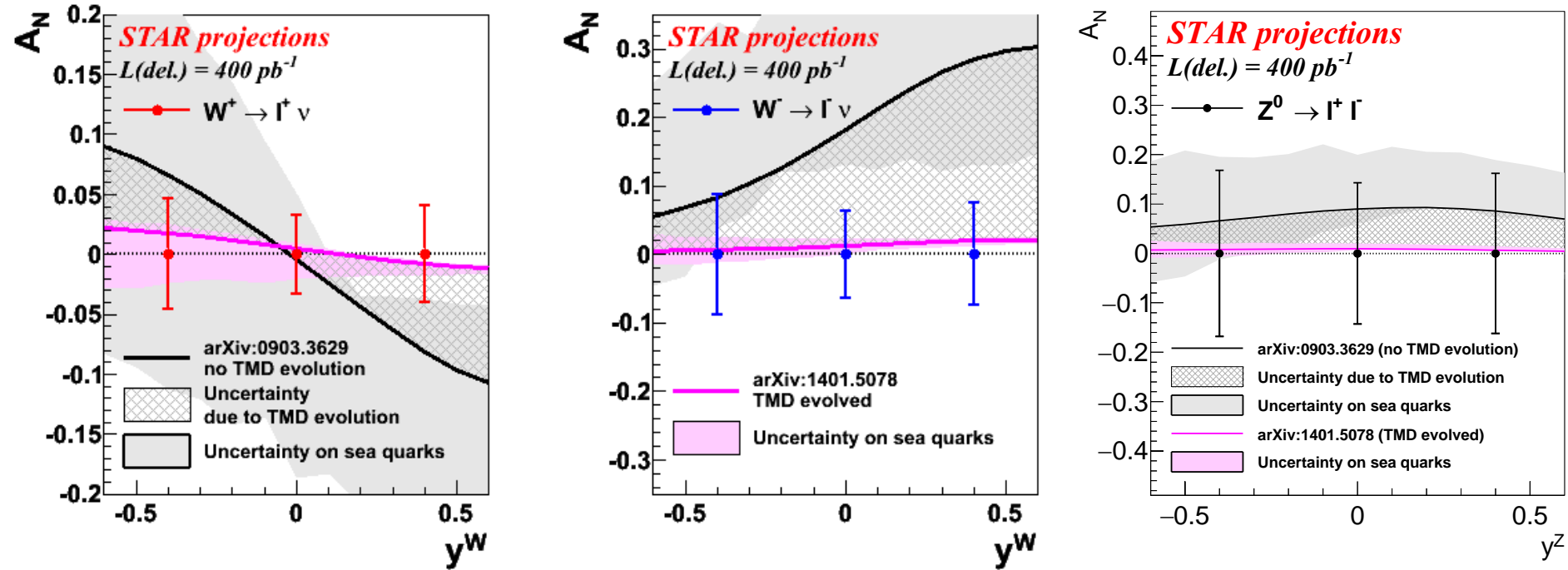
**All we need is more data!**

## Run 17 - Assumptions:

**integrated delivered luminosity of  $400 \text{ pb}^{-1}$**

- **13 weeks** transversely polarized p+p at 510 GeV
- electron lenses are operational and dynamic  $\beta$ -squeeze is used throughout the fill
  - smoothed lumi-decay during fills
  - reduced pileup effects in TPC → high W reconstruction efficiency

# The future: $A_N$ of Weak bosons at STAR

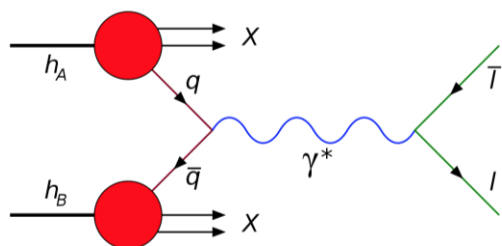


**Large statistics will allow us to**

- Precisely measure  $A_N$  for  $W$ s within a few % in several  $P_T$ ,  $y$  bins.
- Measure the very clean  $Z^0$  channel.
- Test sign change if evolution is less than factor  $\sim 5$

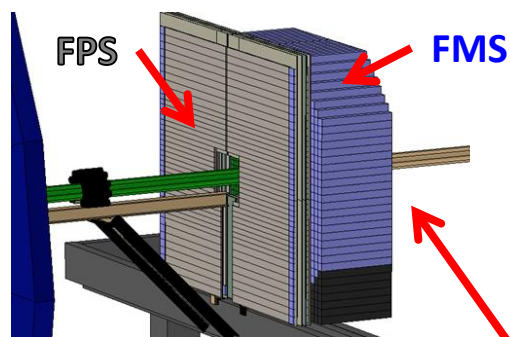
**RHIC plans to deliver  $\sim 400 \text{ pb}^{-1}$  transverse p-p in 2017**

# The future: $A_N$ of Drell-Yan at STAR



## ➤ Very Challenging: (RHIC QCD WP [arXiv:1602.03922](https://arxiv.org/abs/1602.03922))

- QCD background  $\sim 10^5$ - $10^6$  larger than DY cross-section
- Probability of wrongly identifying a decay electron to be suppressed to  $\sim 0.01\%$  while maintaining efficiency in identifying electrons



post-shower

STAR projected  
uncertainty

The expected yields of  
background after

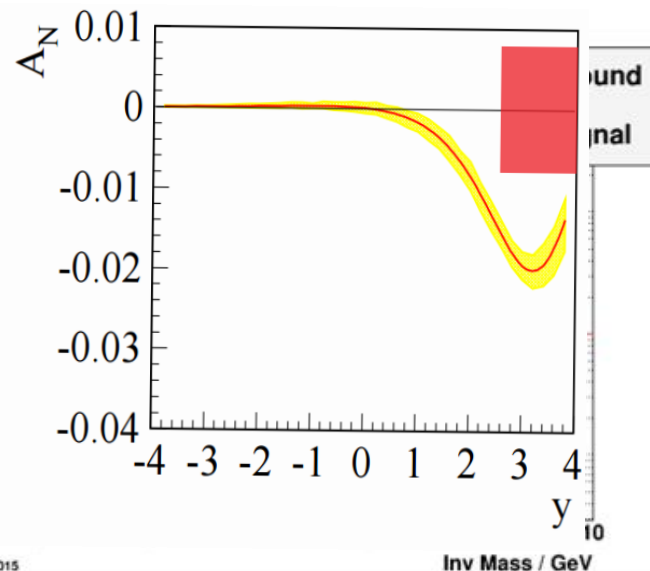
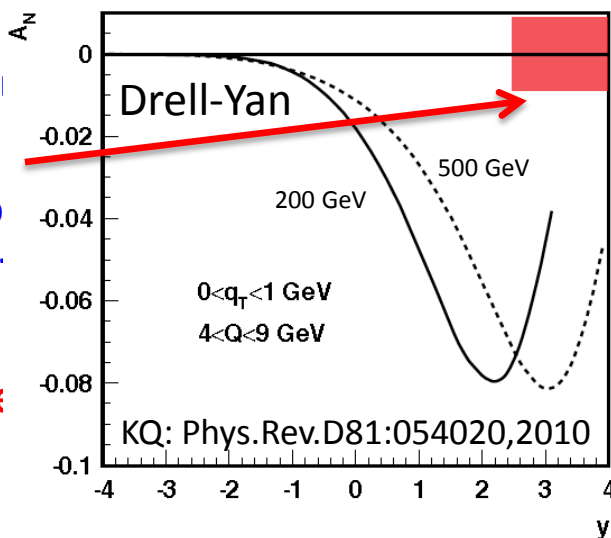
The proposed forward-detector (post-shower) provides the  
to allow our measurement

## ➤ COMPASS (CERN) and proposed E-906/SeaQuest (FNAL)

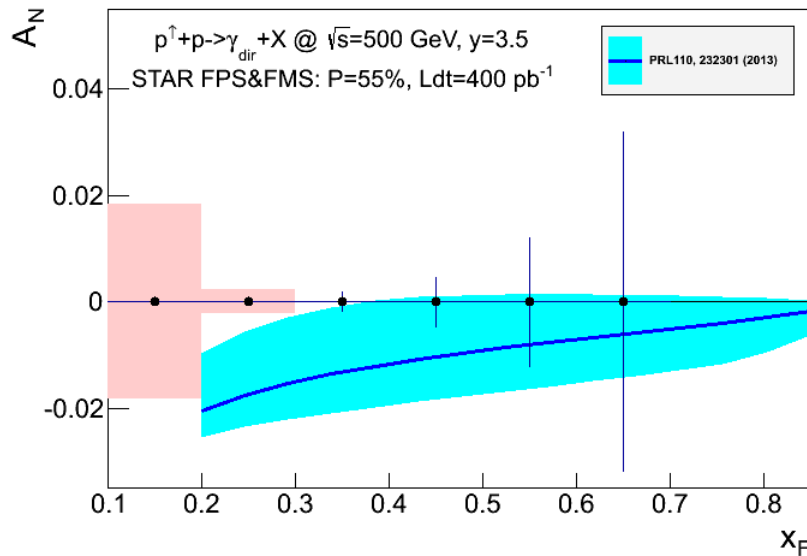
pursue the investigation of TMD through this process

## ➤ STAR can measure it... after an upgrade

- A forward Post-Shower detector will be installed behind the the FMS detector and its Pre-Shower



# The future: $A_N$ of direct-photons at STAR



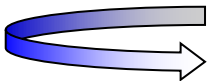
## $A_N$ for direct photon production:

- ☐ sensitive to sign change, but in TWIST-3 formalism
- ☐ not sensitive to TMD evolution
- ☐ no sensitivity to sea-quarks; mainly  $u_v$  and  $d_v$  at high  $x$
- ☐ collinear objects but more complicated evolutions than simple DGLAP
- ☐ indirect constraints on Sivers fct.

## How do we access the sign change?

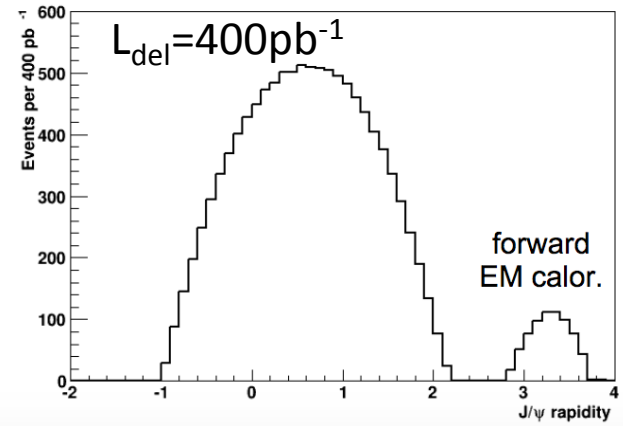
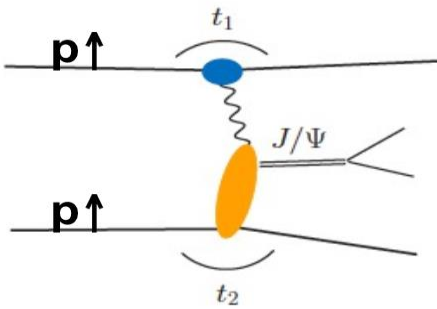
If the correlation due to different color interactions for initial and final state between the **Sivers fcn** and the **twist-3 correlation fcn** in the  $k_T$  integral would be violated, the asymmetry would be positive but the same magnitude

$$-\int d^2k_{\perp} \frac{|k_{\perp}^2|}{M} f_{1T}^{\perp q}(x, k_{\perp}^2) |_{SIDIS} = T_{q,F}(x, x)$$



Not a replacement for a  $A_N(W^{+/-}, Z^0, DY)$  measurement but an important complementary piece in the puzzle

# The future: $j/\psi$ in $p^\uparrow + p^\uparrow$ UPC



## Trigger on:

- 2 EM showers in STAR calorimeters ( $j/\psi \rightarrow e^+e^-$ )
- hit in either Roman Pots (RPs)
- no BBC activity (ensure its a diffractive event)

## RPs acceptance:

- $0.19 < |t| < 1.9 \text{ GeV}^2$
- detects either/both protons from:
  - source of photon (lower  $|t|$ )
  - target of photons (higher  $|t|$ )

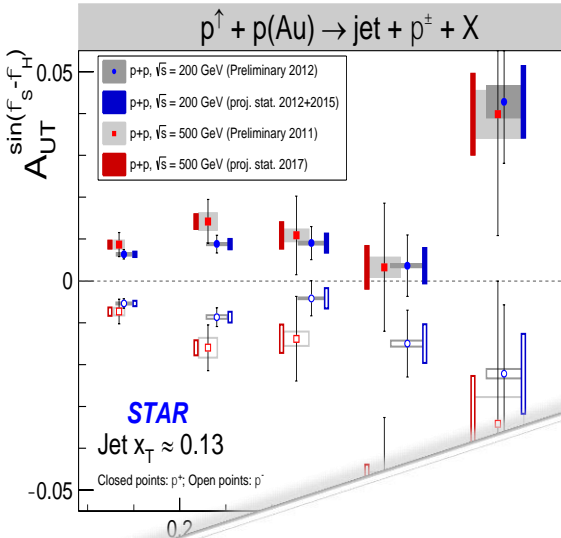
**Projected statistics:** ~11k events in run 17

- **Run 17:** large  $p^\uparrow + p^\uparrow$  sample to be collected,  $L_{\text{del}} = 400 \text{ pb}^{-1}$
- **through transverse asymmetry  $A_{UT}$**  -> Access to **GPD  $E_g$**  -> proportional to the gluon orbital angular momentum  **$L_g$** 
  - $A_{UT} \neq 0 \rightarrow E_g \neq 0 \rightarrow L_g \neq 0$
- **This is the only way to look at the **GPD  $E_g$**  before the construction of an EIC (unique at RHIC!)**

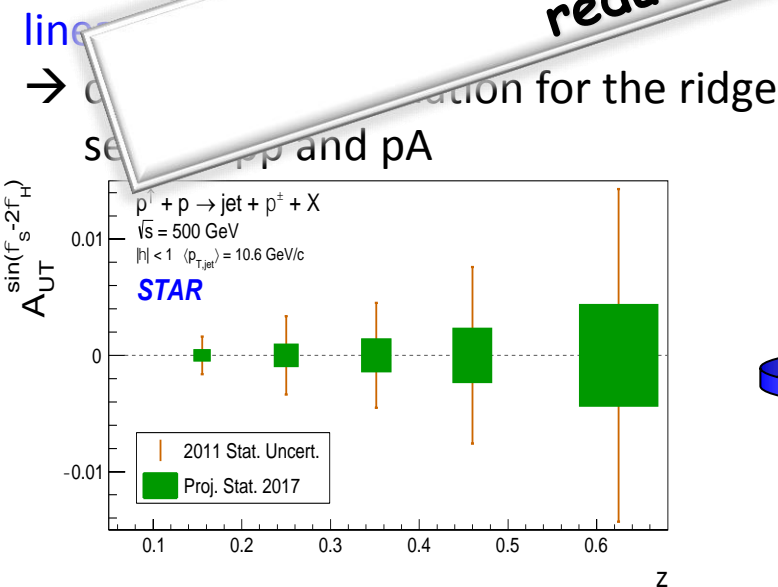
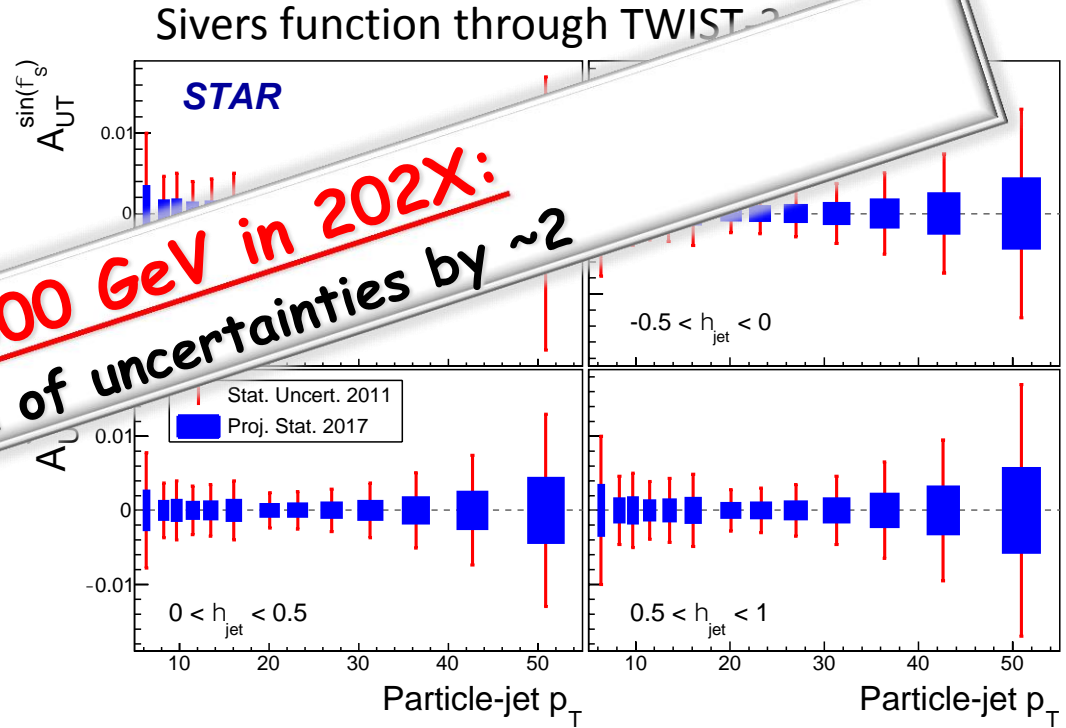
# The longer term future: mid-rapidity observables

Transversity x Collins

@ 200 GeV in 2015&2023 and 500 GeV in 2017 / 202X:



**more 500 GeV in 202X:**  
reduction of uncertainties by ~2



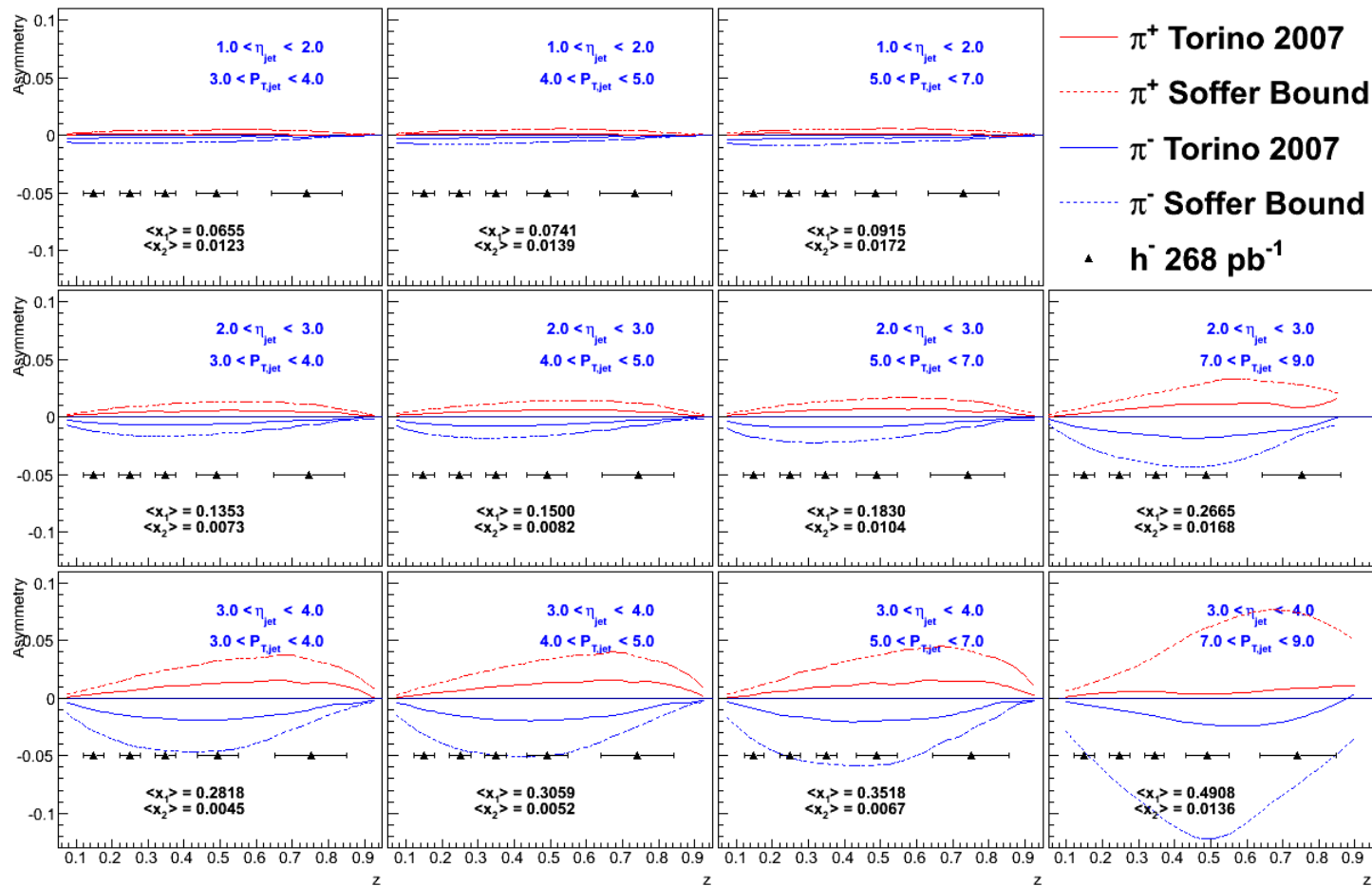
To have high precision data at different  $\sqrt{s}$   
→ constrain TMD evolution  
→ fixed  $x$  and  $Q^2$  →  $p_T$  different

# The longer term future: forward-rapidity

@ 200 GeV in 2023 and 500 GeV in 202X → 2021:

→ 500 GeV: access high  $x$  and jets at forward rapidities

Transversity x Collins FF



At 200 GeV:  $A_N$  for charged hadrons → rigid test on Twist-3 FF

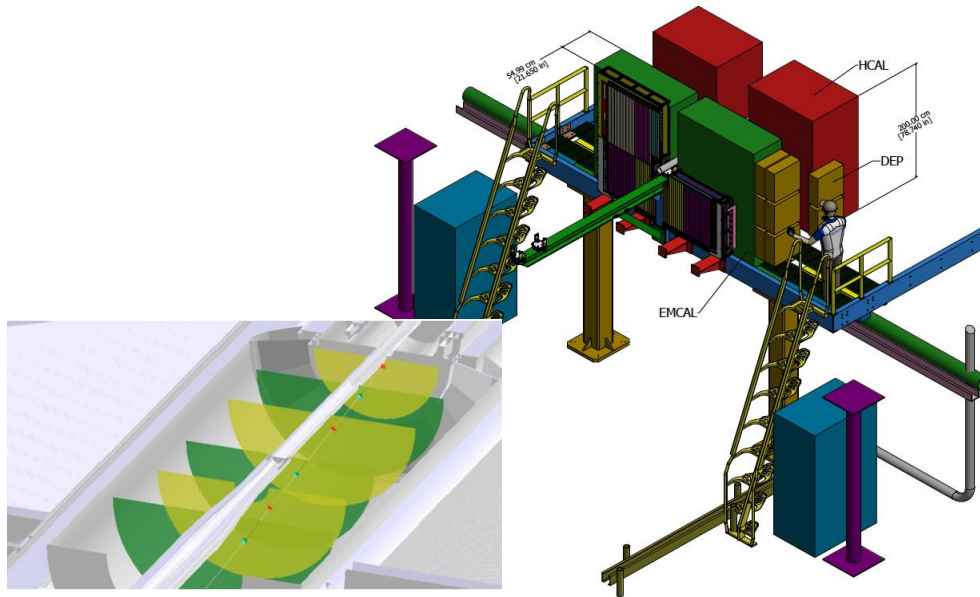
# The longer term future: STAR forward upgrade plans

## ❑ What is needed (Hardware):

- wide acceptance mid-rapidity detector with good PID
- forward rapidities ( $1 < \eta < 4.5$ ) Ecal + HCal + charge identification

For details see:

- ➔ RHIC Cold QCD Plan
- ➔ [https://drupal.star.bnl.gov/STAR/system/files/FCS\\_FTS-proposal\\_20160119\\_final\\_0.pdf](https://drupal.star.bnl.gov/STAR/system/files/FCS_FTS-proposal_20160119_final_0.pdf)



### Ecal:

reuse PHENIX Ecal (PbSc)  
 $\sigma_E/E \sim 8\%/ \sqrt{E}$

### Hcal (PbSc):

design a la STAR fHCal and EIC fHCal  
 $\sigma_E/E \sim 70\%/ \sqrt{E}$

### Tracking (Si):

4-6 strip-disks

Add to existing STAR at rapidity

**$2.5 < \eta < 4.5$**



# Conclusions

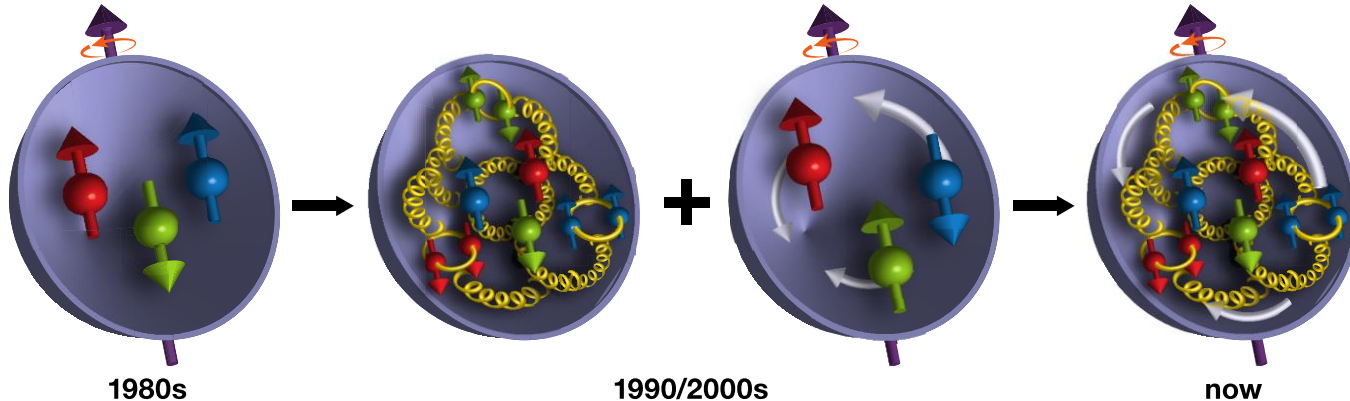
- RHIC is the world's only polarized collider -> unique opportunities
- STAR has recently released exciting results in transverse-spin physics
  - World's first experimental test of the non-universality of the Sivers function through measuring  $A_N$  of fully reconstructed  $W^\pm$  and  $Z^0$  bosons
  - Understanding the final state mechanism using jets and di-hadron IFF -> two ways to access quark transversity
  - first investigation of nuclear TMDs and saturation effects in  $p^\uparrow + A$
- RHIC run 17 data (up to  $L \sim 400 \text{ pb}^{-1}$ ) can give statistical significance to:
  - Pin down TMD evolution
  - Investigate the contribution from sea-quarks to the Sivers fcn.
  - Ultimate test of the Sivers' sign change if the size of the evolution effect is less than a factor 5, STAR is the only experiment that can measure  $A_N$  for  $\gamma$ ,  $W^\pm$ ,  $Z^0$ , DY, all in one venue, simultaneously!
  - Study the GPD  $E_g$  through measuring  $A_N$  of  $j/\psi$  in  $p+p$ : the only opportunity before the realization of an Electron-Ion Collider
  - Longer term goals for further investigation of Saturation, Collins, transversity

# Summary of the program

|                          | Year | $\sqrt{s}$ (GeV) | Delivered Luminosity             | Scientific Goals  | Observable  | Required Upgrade   |
|--------------------------|------|------------------|----------------------------------|---|---|--|
| Scheduled RHIC running   | 2017 | $p^+p @ 510$     | 400 pb <sup>-1</sup><br>12 weeks | Sensitive to Sivers effect non-universality through TMDs and Twist-3 $T_{q,F}(x,x)$<br>Sensitive to sea quark Sivers or ETQS function<br>Evolution in TMD and Twist-3 formalism<br><br>Transversity, Collins FF, linear pol Gluons, Gluon Sivers in Twist-3<br><br>First look on GPD $Eg$ | $A_N$ for $\gamma$ , $W^\pm$ , $Z^0$ , DY<br><br>$A_{UT}^{\sin(\phi_s-2\phi_h)}$ $A_{UT}^{\sin(\phi_s-\phi_h)}$ modulations of $h^\pm$ in jets, $A_{UT}^{\sin(\phi_s)}$ for jets<br><br>$A_{UT}$ for J/ $\Psi$ in UPC | $A_N^{DY}$ : Postshower to FMS@STAR<br><br>None<br><br>None                        |
|                          | 2023 | $p^+p @ 200$     | 300 pb <sup>-1</sup><br>8 weeks  | subprocess driving the large $A_N$ at high $x_F$ and $\eta$<br><br>properties and nature of the diffractive exchange in p+p collisions.   | $A_N$ for charged hadrons and flavor enhanced jets<br><br>$A_N$ for diffractive events  | Yes<br>Forward instrum.<br><br>None  |
|                          | 2023 | $p^+Au @ 200$    | 1.8 pb <sup>-1</sup><br>8 weeks  | What is the nature of the initial state and hadronization in nuclear collisions<br><br>Nuclear dependence of TMDs and nFF<br><br>Clear signatures for Saturation  | $R_{pAu}$ direct photons and DY<br><br>$A_{UT}^{\sin(\phi_s-\phi_h)}$ modulations of $h^\pm$ in jets, nuclear FF<br><br>Dihadrons, $\gamma$ -jet, h-jet, diffraction  | $R_{pAu}(DY)$ : Yes<br>Forward instrum.<br><br>None<br><br>Yes<br>Forward instrum. |
|                          | 2023 | $p^+Al @ 200$    | 12.6 pb <sup>-1</sup><br>8 weeks | A-dependence of nPDF,<br><br>A-dependence of TMDs and nFF<br><br>A-dependence for Saturation  | $R_{pAl}$ direct photons and DY<br><br>$A_{UT}^{\sin(\phi_s-\phi_h)}$ modulations of $h^\pm$ in jets, nuclear FF<br><br>Dihadrons, $\gamma$ -jet, h-jet, diffraction  | $R_{pAl}(DY)$ : Yes<br>Forward instrum.<br>None<br><br>Yes<br>Forward instrum.     |
|                          | 202X | $p^+p @ 510$     | 1.1 fb <sup>-1</sup><br>10 weeks | TMDs at low and high x<br><br>quantitative comparisons of the validity and the limits of factorization and universality in lepton-proton and proton-proton collisions   | $A_{UT}$ for Collins observables, i.e. hadron in jet modulations at $\eta > 1$ and mid-rapidity   | Yes<br>Forward instrum.<br><br>None  |
| Potential future running | 202X | $p\bar{p} @ 510$ | 1.1 fb <sup>-1</sup><br>10 weeks | $\Delta g(x)$ at small x  | $A_{LL}$ for jets, di-jets, h/ $\gamma$ -jets at $\eta > 1$   | Yes<br>Forward instrum.  |

# BACKUP

# The structure of a proton

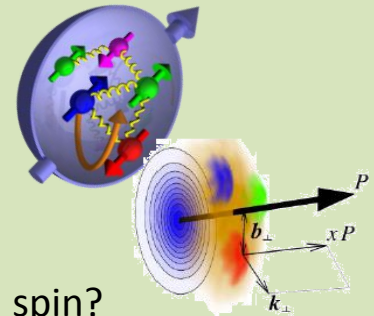


**Today we know that a proton (nucleon) is a very complex object!**

**What is the dynamic structure of the nucleons?**

**Nucleon imaging:** How are sea quarks and gluons and their spin distributed in space and momentum inside the nucleon?

**2D+1 picture in momentum and coordinate space**



How are these quark and gluon distributions correlated with the over all nucleon properties, such as spin direction?

What is the role of the motion of sea quarks and gluons in building the nucleon spin?

**Visualize color interactions in QCD**

understand deep aspects of gauge theories revealed by  $k_T$  dependent distributions

# Transverse momentum dependent PDFs & FFs

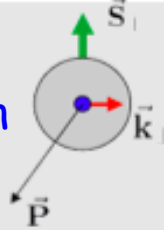
observable: azimuthal modulations

of 6-fold differential SIDIS cross section

$$\frac{d\sigma}{dx dQ^2 dz d\phi d\phi_h d\phi_T}$$

example:

Sivers function



correlation of nucleon's transverse spin with the  $k_T$  of an unpolarized quark

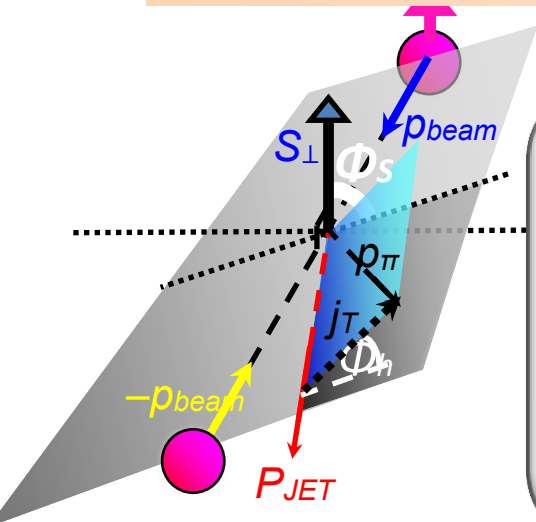
$\rightarrow \sin(\phi_h - \phi_s)$  modulation

$$f_{q/P^\uparrow}(x, \mathbf{k}_\perp, S) = f_1(x, \mathbf{k}_\perp^2) - \frac{\mathbf{S} \cdot (\hat{\mathbf{P}} \times \mathbf{k}_\perp)}{M} f_{1T}^\perp(x, \mathbf{k}_\perp^2)$$

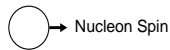
unpolarised TMD



Sivers function



Leading Twist TMDs



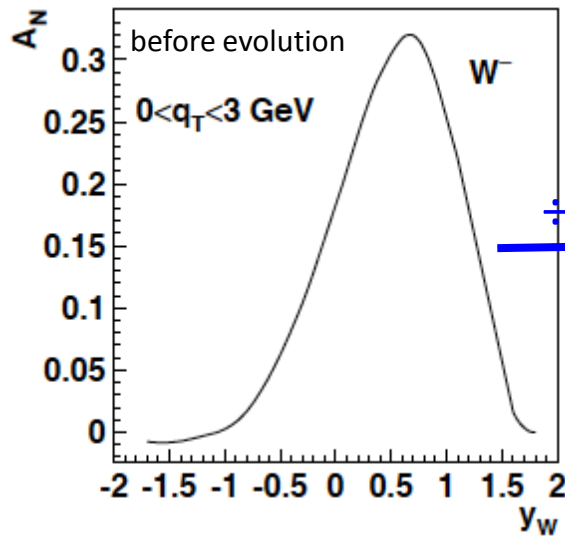
|  | U | $f_1 = \odot$  | $h_1^\perp = \odot - \odot$<br>Boer-Mulders  |
|--|---|--|--|
|  | L | $g_{1L} = \odot \rightarrow \odot$<br>Helicity               | $h_{1L}^\perp = \odot \rightarrow \odot$   |
|  | T | $f_{1T}^\perp = \odot \uparrow - \odot \downarrow$<br>Sivers | $g_{1T}^\perp = \odot \uparrow - \odot \downarrow$<br>$h_{1T}^\perp = \odot \uparrow - \odot \downarrow$<br>Transversity |

Leading Twist TMD FF

| N \ q | U              | L        | T                  |
|-------|----------------|----------|--------------------|
| U     | $D_1$          |          | $H_1^\perp$        |
| L     |                | $G_{1L}$ | $H_{1L}^\perp$     |
| T     | $H_{1T}^\perp$ | $G_{1T}$ | $H_1 H_{1T}^\perp$ |

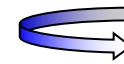
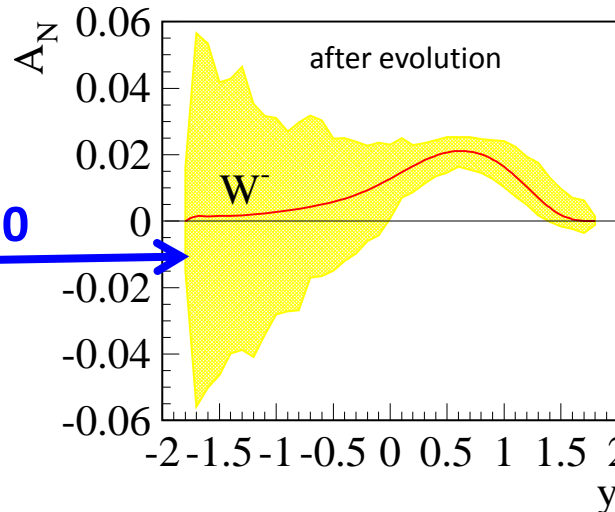
# Motivations – The TMD evolution

Z.-B. Kang & J.-W. Qiu arXiv:0903.3629



$\div \sim 10$

Z. Kang: original paper arXiv:1401.5078



Very strong evolution effects

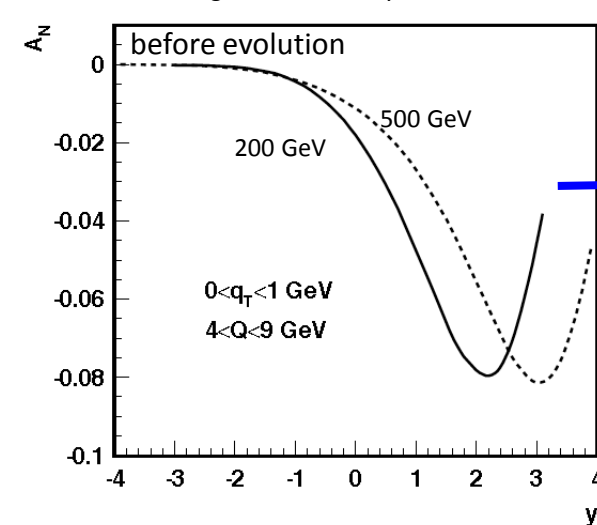
size of the effect still under discussion in theory community

For details see

Talk by J. Collins in this session and

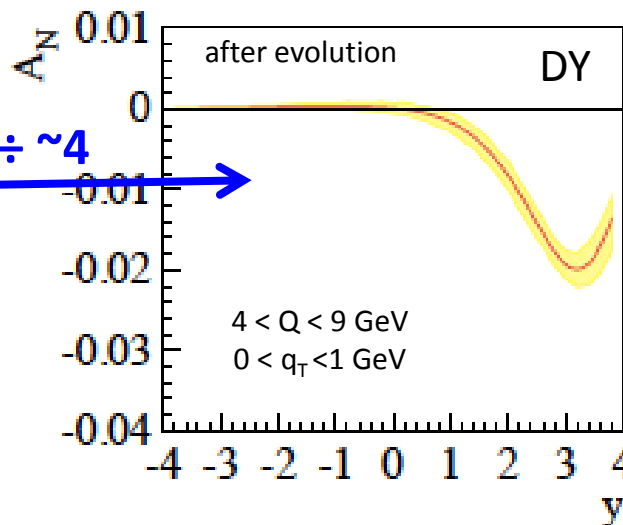
**J. Collins, T. Rogers,  
Phys.Rev. D91 (2015) 7,  
074020**

Z.-B. Kang & J.-W. Qiu Phys.Rev.D81:054020,2010



$\div \sim 4$

Z. Kang et al. arXiv:1401.5078



# Data & MC

## PYTHIA tuning

### Monte Carlo

- **PYTHIA** reconstructed through GEANT simulated STAR detector
- **Perugia tune** with hard  $P_T > 10$  GeV
- PYTHIA **embedded** into real zero-bias pp events

### Data sample

- **pp – transverse** (collected in 2011) @  $\sqrt{s} = 500$  GeV
- Integrated luminosity:  $\sim 25 \text{ pb}^{-1}$
- Events triggered in Barrel EMCAL

### Signal

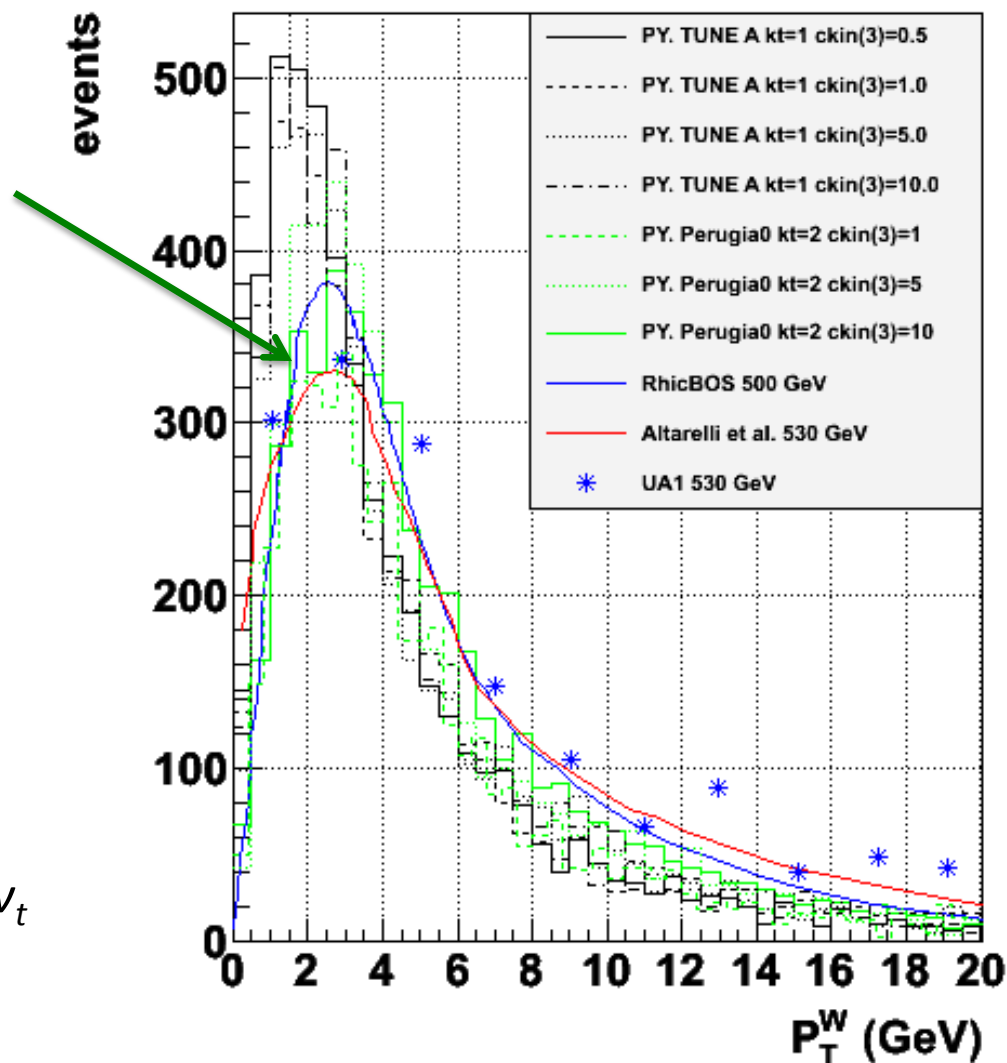
$$W \rightarrow e\nu_e$$

### Background

$$W \rightarrow t\nu_t \rightarrow e\nu_e\nu_t$$

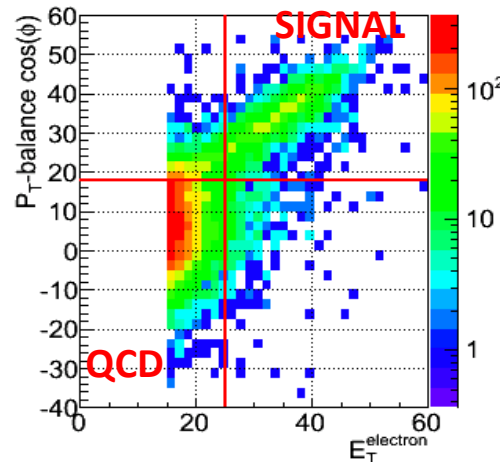
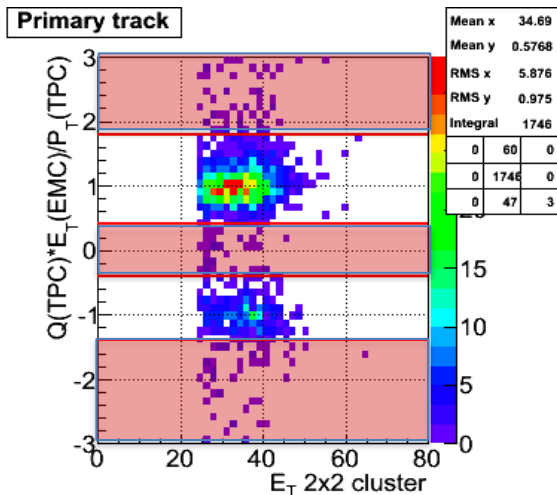
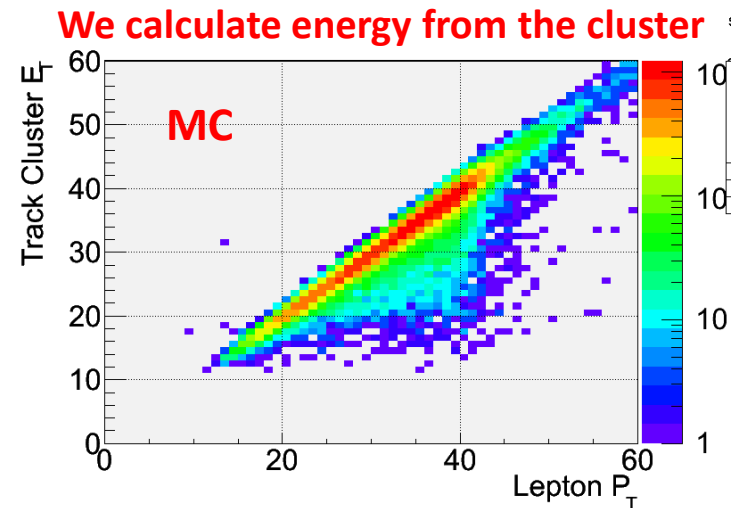
$$Z \rightarrow ee$$

QCD events



# Electron identification

- **Isolation:**  $(P_{\text{track}} + E_{\text{cluster}}) / \Sigma[P_{\text{tracks}} \text{ in } R=0.7 \text{ cone}] > 0.8$
- **Imbalance:** no energy in opposite cone ( $E < 20 \text{ GeV}$ )
- **$E_T > 25 \text{ GeV}$**
- Track  $|\eta| < 1$
- $|Z\text{-vertex}| < 100 \text{ cm}$
- **Charge separation** (avoids charge misidentification):  
 $0.4 < |\text{Charge (TPC)} \times E_T (\text{EMC}) / P_T (\text{TPC})| < 1.8$
- Signed  $P_T$  balance  $> 18 \text{ GeV}/c$  (**rejects QCD Background**)
- $0.5 \text{ GeV}/c < P_T^W < 10 \text{ GeV}/c$



$$\vec{P}_T^{bal} = \vec{P}_T^e + \sum \vec{P}_T^{recoil}$$



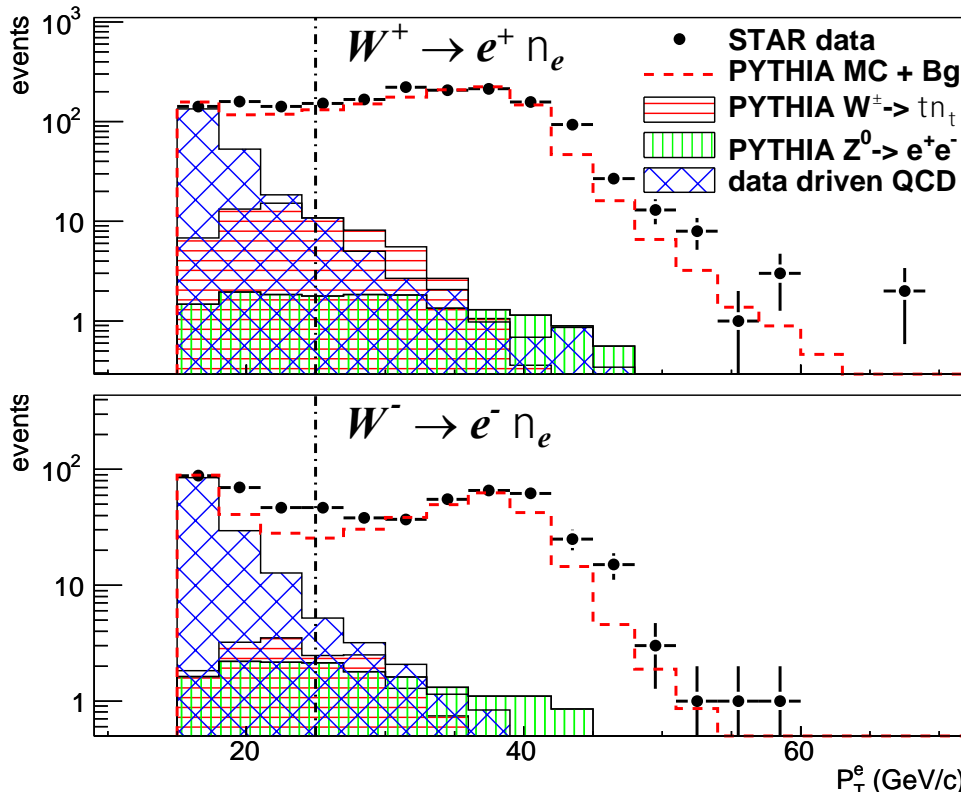
# Background estimation

## Background from W and Z boson decays estimated via Monte Carlo

- PYTHIA 6.4 with Perugia 0 tune
- normalized to recorded data luminosity

## Data-driven QCD background estimation

- Reverse of  $P_T$ -balance cut [ $P_T\text{-balance} < 15 \text{ GeV}$ ] → Selects QCD events
- Plot lepton- $P_T > 15 \text{ GeV}$
- QCD sample normalized to the first  $P_T$ -bin [15-19 GeV]



- Positive-charge signal **1016 events**
- $Z^0 \rightarrow ee$  [B/S =  $0.79\% \pm 0.03\%$ ]
- $W^+ \rightarrow tv_t$  [B/S =  $1.89\% \pm 0.04\%$ ]
- **QCD** [B/S =  $1.6\% \pm 0.09\%$ ]

- Negative-charge signal **275 events**
- $Z^0 \rightarrow ee$  [B/S =  $2.67\% \pm 0.1\%$ ]
- $W^- \rightarrow tv_t$  [B/S =  $1.77\% \pm 0.1\%$ ]
- **QCD** [B/S =  $3.39\% \pm 0.23\%$ ]

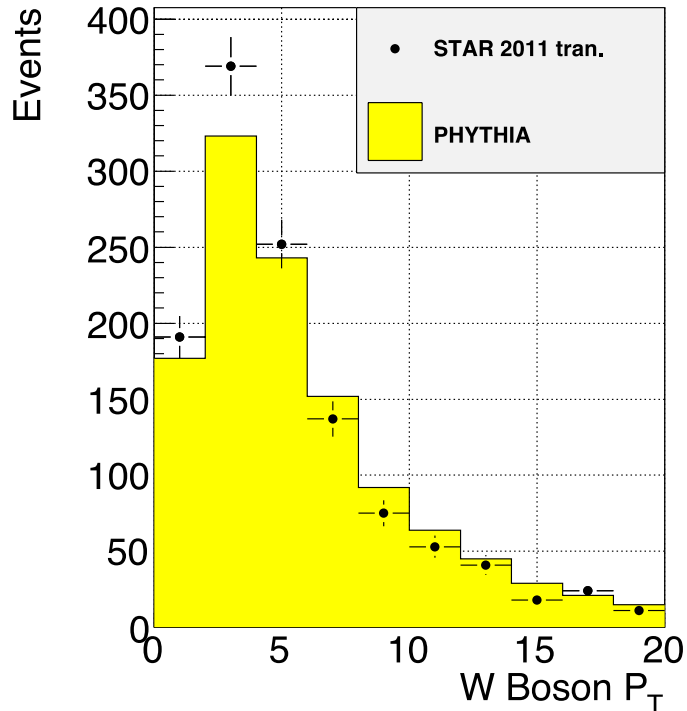
Backgrounds under control!

# W $P_T$ – Data/MC

**We add to our selection:**

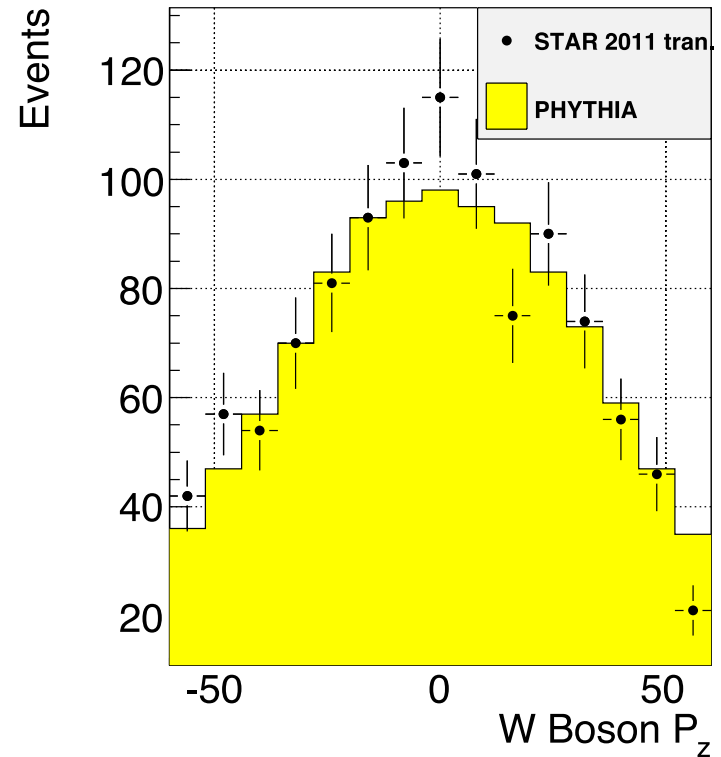
- Track- $P_T$  in the recoil  $> 0.2$  GeV
- Total recoil- $P_T > 0.5$  GeV

W+ sample



|           |       |
|-----------|-------|
| Mean      | 5.329 |
| RMS       | 3.902 |
| Underflow | 0     |
| Overflow  | 53    |
| Integral  | 1171  |

W+ sample

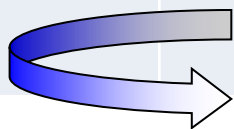


|           |        |
|-----------|--------|
| Mean      | -1.479 |
| RMS       | 29.14  |
| Underflow | 82     |
| Overflow  | 64     |
| Integral  | 1078   |

**GOOD data/MC agreement after  $P_T$  correction**

# Summary table

|   | $A_N(W^{+/-}, Z^0)$   | $A_N(DY)$                                      | $A_N(\gamma)$                           |
|---|-----------------------|--|---|
| sensitive to sign change through TMDs                   | yes                   | yes  | no                                      |
| sensitive to sign change through Twist-3 $T_{q,F}(x,x)$ | no                    | no   | yes                                     |
| sensitive to TMD evolution                              | yes                   | yes  | no                                      |
| sensitive to sea-quark Sivers fct.                      | yes                   | yes  | no                                      |
| need detector upgrades                                  | no                    | yes<br>at minimum: FMS postshower              | yes<br>pre-showers installed for run-15 |
| biggest experimental challenge                          | integrated luminosity | background suppression & integrated luminosity | need to still proof analysis on data    |



$A_N(W^{+/-}, Z^0)$  clean probe sensitive to all questions without the need for upgrades